IN THE UNITED STATES DISTRICT COURT FOR THE EASTERN DISTRICT OF TEXAS **SHERMAN DIVISION**

TIMOTHY JACKSON, *Plaintiff*,

Civil Action No. 4:21-cy-00033 v.

\$ LAURA WRIGHT, MILTON B. LEE, MELISA DENIS, MARY DENNY, DANIEL FEEHAN, A.K. MAGO, CARLOS MUNGUIA, AND G. BRINT RYAN, each in their official capacities as members of the board of regents for the University of North Texas System; RACHEL GAIN; ELLEN BAKULINA; ANDREW CHUNG: DIEGO CUBERO: STEVEN FRIEDSON; REBECCA DOWD GEOFFROY-SCHWINDEN; BENJAMIN GRAF; FRANK HEIDLBERGER: BERNARDO ILLARI; JUSTIN LAVACEK; PETER MONDELLI; MARGARET NOTLEY; APRIL L. PRINCE; CATHY RAGLAND; GILLIAN ROBERTSON; HENDRIK SCHULZE: VIVEK VIRANI; AND BRIAN F. WRIGHT, Defendants.

THE DEFAMATION DEFENDANTS' RESPONSE TO PLAINTIFF TIMOTHY JACKSON'S MOTION FOR SUMMARY JUDGMENT ON DEFAMATION LIABILITY **APPENDIX**

Peter Kohanski deposition excerpts)62-066
Rebecca Geoffroy-Schwinden, Ph.D. deposition excerpts)67-077
Stephen Slottow, Ph.D. deposition excerpts)78-085

Case 4:21-cv-00033-ALM Filed 01/17/25 Page 3 of 87 PageID # Document 92-2 5229 ELLEN BAKULINA, PH.D. 10/16/2024 1 ELLEN BAKULINA, PH.D. 10/16/2024 3 UNITED STATES DISTRICT COURT FOR THE EASTERN DISTRICT OF SHERMAN DIVISION INDEX 2 2 PAGE 3 TIMOTHY JACKSON. 2 Plaintiff, 4 Stipulations..... 5 vs. CASE NO. 4:21-CV-00033-ALM ELLEN BAKULINA, PH.D. 5 Direct Examination by Mr. Allen..... 6 6 LAURA WRIGHT, et al., 6 Defendants. **EXHIBITS** VIDEOTAPED ZOOM ORAL DEPOSITION OF NUMBER DESCRIPTION MARKED ELLEN BAKULINA, PH.D. Exhibit 1 Re-Notice of Taking Deposition.... 13 10 10 Bakulina CV (UNT 005258 - 005267)......14 11 October 16, 2024 11 Exhibit 2 12 (Reported Remotely). 13 13 Title Page, List of Articles, Theoria, Volume 26, 2020..... 14 14 Exhibit 4 VIDEOTAPED ORAL DEPOSITION OF ELLEN BAKULINA. PH.D.. 15 15 Exhibit 5 16 produced as a witness at the instance of the Plaintiff 16 17 and duly sworn, was taken in the above-styled and 17 enthusiastic about Ewell's talk (JACKS 086826)..... 18 numbered cause on the 16th day of October, 2024, 18 19 from 9:03 a.m. to 3:54 p.m., before Kim D. Carrell. 19 Email, Material for the Committee (UNT 0002645 - 002782)..... Exhibit 7 20 Certified Shorthand Reporter in and for the State of 20 Email, 12-11-19, Jackson to 21 Texas, reported remotely by computerized stenotype Exhibit 8 Bakulina, et al. (UNT 000563 - 000566)......106 22 machine at the physical location of the Witness, Ellen 22 Email, 7-25-20, Slottow to Jackson, et al. (UNT 000300 - 000303)......137 23 Exhibit 9 23 Bakulina, Ph.D., in Montreal, Canada, pursuant to the 24 24 Federal Rules of Civil Procedure and the provisions 25 stated on the record or attached hereto. 25 FILEN BAKIIIINA PH.D. 10/16/2024 Exhibit 10 Email Chain Ending Jackson to 1 ELLEN BAKULINA, PH.D. 10/16/2024 2 Cubero, et al. (UNT 000304 - 000309).....144 **APPEARANCES** Exhibit 11 Letter, 7-29-20, Bakulina to 3 FOR THE PLAINTIFF: Richmond (UNT 000116 - 000309)......150 3 Michael Thad Allen MICHAEI INAG AIIEN
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Quaker Hill, CT 06375
Telephone: 860.772.4738
Fax: 860.469.2783
E-mail: M.allen@allen-lawfirm.com Exhibit 12 Email, 7-29-20, Bakulina to 5 Brand, et al. 5 (UNT 000488).... 6 Exhibit 13 Email, 7-31-20, Richmond to Music Faculty, et al. 8 (UNT 000568)......160 FOR THE DEFENDANTS: 8 9 Exhibit 14 Ad Hoc Review Panel Report Mary Quimby
Assistant Attorney General
General Litigation Division
P.O. Box 12548, Capital Station
Austin, Texas 78711
Telephone: 512.463.2120
Fax: 512.320.0667
E-mail: Mary.Quimby@oag.texas.gov 9 (Exhibit D) 10 (JACKSON000208 - 000233)......164 10 Exhibit 15 Email Chain, Re: Statement on 11 11 JSS Issue 12 (UNT 000361 - 000363)......175 12 13 13 Exhibit 16 Email Chain Re: Meeting with Journal Review Panel, Wed. 14 - and -Sept. 16 Renaldo Stowers (Appearing Live) 14 15 Renaldo Stowers (Appearing Live)
Cari Jacoby
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E-mail: Renaldo.Stowers@untsystem.edu
cari.jacoby@untsystem.edu (UNT 002509)......186 16 15 17 Exhibit 17 Email Chain Re: Talk with the 18 16 UNT Ad Hoc Journal Review Panel (UNT 002555)......196 19 17 20 Exhibit 18 Undated Letter, Bakulina to 21 ALSO PRESENT: 18 Richmond 22 Timothy Jackson, Plaintiff (UNT 002559 - 002561).....203 VIDEOGRAPHER: 19 23 Exhibit 19 Email Chain Ending 5-17-21, 24 Jason Warner Brand to Cowley, et al. Legal Video Group lvg.dallas@gmail.com 214-598-5229 20 25 (UNT 005054 - 005055)......208 21 22 23

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"From Benjamin Graf's email." 1 Q. Um-hum.

3 "I agree with Tim. We should go forward with

the call and be open to publishing more on this matter in 4 5 future publications."

Q. And just to back up to the email Timothy 6

Jackson had sent the day before, he also addressed

whether there could be the possibility of additional 8

responses, correct? 9

1 2

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10 A. Let's see. Give me a minute. Yes.

MS. QUIMBY: I'm sorry. Before we 11

12 continue, can we take a moment to clarify who spoke on

13 the record just a minute ago? It's my understanding

that it wasn't Renaldo, and I'm not sure. 14

15 MR. ALLEN: I honestly don't know. If

they want -- I mean, it was a male voice. 16

17 MS. QUIMBY: I'd just like to clarify for

18 the record who that was.

19 MR. ALLEN: It wasn't -- Timothy, are you

on? Did you speak? Or was it Cari Jacoby? Cari Jacoby 20

is probably a female? 21

speak.

1

22 MS. QUIMBY: It was not Cari.

23 MS. JACOBY: I'm a female.

24 MR. ALLEN: Thank you. Sorry.

25 MR. JACKSON: I didn't speak. I did not

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2 MR. ALLEN: Adam Scozzari would be the

videographer, and I can't believe that --3

THE VIDEOGRAPHER: I've been muted. 4

MR. STOWERS: This is Renaldo Stowers. 5

I was muted, and it wasn't me. 6

7 MR. ALLEN: I'm the only one in my house

8 right now, and I think you all are familiar with my

voice, so it certainly wasn't me. So I don't know. 9

MR. STOWERS: Is there anybody else who 10

has access who is on here other than T --11

MS. QUIMBY: I was going to ask you who 12

TLJ is. I saw that earlier. 13

MR. ALLEN: That should be Timothy, right?

Timothy, are you TJ -- TLJ0019? 15

16 MR. JACKSON: I am. But I don't know if

17 my audio was off. I can only say that it wasn't me who

18 spoke.

14

MR. ALLEN: All right. Well, I'm not sure 19

20 who else it would have been then, but there is no one

else in my home. I don't -- Dr. Bakulina testified that 21

the only one else with her is her cat, so... 22

23 MS. QUIMBY: Yeah.

24 MR. ALLEN: Mary, if you want -- for

the record, if you want to have that stricken from the 25

record -- or I don't know what you want to do to address

2 that. I'm open to suggestions.

MS. QUIMBY: I was just trying to clarify.

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MR. ALLEN: No, obviously. I asked at

5 that time if the person would identify themselves, and

no one spoke, so I'm not sure what was going on there. 6

Q. Sorry. I'm trying to pick up where we left

off, Professor Bakulina. I want to get you back on 8

9 screen. There we go.

10 "So I was just going to say that the day

before Timothy Jackson had addressed the issue that 11

12 more responses have promise."

13 I'm just reading into the record a portion of

that email on Bates page UNT 2658. 14

15 "More responses have promised and have even

been -- and even been requested. Therefore, if others 16

17 are interested in responding, but wish to wait for the

published version of Ewell's talk, then they are welcome 18

19 to do so, and which should be open to publishing

20 additional responses to that version in a subsequent

21 issue after the upcoming one of the Journal of

22 Schenkerian Studies."

Did I read that right?

24 I think so, yes.

Was there any objection at this time that

ELLEN BAKULINA, PH.D. 10/16/2024

Philip Ewell had not been personally invited? 1

2 MS. QUIMBY: Objection, form.

A. As much as I recall, no. And that's very

4 unfortunate. I regret this personally.

5 Q. You didn't object to Philip Ewell not being

personally invited in 2019, did you?

7 MS. QUIMBY: Objection, form.

8 A. I think I might have mentioned something

verbally, and I don't recall if it was in any of the 9

10 emails. But I mentioned Philip Ewell to one of the

people involved, maybe to Timothy Jackson, maybe to

Stephen Slottow. I don't recall what I said, but I 12

think that I mentioned something. I greatly regret at 13

this point that I did not explicitly ask to wait or to --14

that I did not explicitly suggest to ask for Philip 15

16 Ewell's response to the responses.

17 Q. Did you speak to Philip Ewell before

18 the publication of the Volume 12 of the Journal of

Schenkerian Studies after his plenary talk and before 19

20 its publication?

21 A. I have to think, because Philip Ewell and I had

a lot of exchanges about many things because we 22

share an important research area. 23

> Ο. Um-hum.

A. And I didn't recall the details, but it is

24

- of 2019 where Philip Ewell received a standing ovation, 14
- 15 right?
- 16 MS. QUIMBY: Objection, form.
- 17 Yes. Α.
- 18 Did you feel an inability to speak to Philip
- Ewell in any way? 19
- 20 MS. QUIMBY: Objection, form.
- 21 No
- 22 Did you feel there was a, quote, power
- 23 differential, between you and Philip Ewell as scholars
- in the field? 24
- 25 MS. QUIMBY: Objection, form.

- for the Symposium --14
- 15 Um-hum.
- -- do you recall any discussion about whether
- 17 there should be a specific ideological focus of the
- 18 submissions?
- MS. QUIMBY: Objection, form. 19
- 20 I remember that the call for responses. Now, I
- 21 don't actually remember the final form of the call for
- responses. 22
- 23 Ο. Um-hum.
- 24 But one of the drafts of the call for
 - responses, I remember seeing that email stated

of the call for papers didn't mention Philip Ewell by
214-668-5578 Page 165 to 168 of 228

MS. QUIMBY: Objection, form.

Q. Well, this doesn't say something to the effect

A. Well, you quoted correctly. What's the

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question again?

So that should be understood to read the fact

And you knew that Philip Ewell was going to

that Philip Ewell was not afforded the opportunity to

respond in print is unacceptable?

Yes.

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Α.

1 name, does it?

2 MS. QUIMBY: Objection, form.

A. So this letter states that he was not

4 afforded an opportunity to respond. To me, this refers

 ${\bf 5} \quad \mbox{ to the fact that the call for responses did not offer an }$

6 opportunity for Ewell to write a response to responses.

Q. And did you participate in the drafting of this

8 UNT Faculty Statement on Journal of Schenkerian Studies?

9 A. I remember reading a draft and maybe making10 small suggestions, but I was not the one who drafted the

11 letter.

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Q. Did you ever suggest that anyone add all of the verbiage that you just testified was implied in this

14 statement to the letter in actual words?

A. No, I did not suggest that.

Q. Now, I want to go to the students' statement,

17 and we'll ask some questions about that. Let me see. I

18 think this is a better -- I'm just trying to make this

19 easy for you to read. But if I make it just a bit wider,

20 is it possible for you to see all of this now?

A. Yes. Very good, thank you.

Q. Is this a good size for the font?

A. Yes, excellent.

Q. Okay. So what, in this student letter, is

25 the call for action?

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MS. QUIMBY: Objection, form.

2 A. Call for action. It's a little bit later, if

3 you scroll a little bit down.

Q. Okay. Here it says, "We also call on the

5 University of North Texas and the UNT College of Music to

6 take the following action:"

7 Is that part of the students' call for action?

A. I think so. Scroll further.

Q. And then they have three bullet points, if you

10 want to call them that, or three numbered paragraphs in

11 here, right?

12 A. Right.

Q. One is dissolve the JSS, correct?

14 A. Yes. That's one of the actions, yes. So

that's the call for actions, correct.

Q. And also, critically examine the culture of

17 UNT, the COM, which I think means College of Music, and

18 the MHTE, which means School of Musicology [sic] History,

19 Theory, and Ethnomusicology; is that right?

20 A. Yes.

Q. And they want something to do with changing our

22 culture, right?

23 A. Yes.

Q. And is this also part of the call for action?

25 "Hold accountable every person responsible for

1 the direction of the publication."

2 A. Yes, definitely.

Q. And that includes, "This should also extend to

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investigating past bigoted behaviors by faculty and by

5 taking this into account, the discipline and potential

6 removal of faculty who used the JSS platform to promote

7 racism. Specifically, the actions of Dr. Jackson, both

8 past and present, are particularly racist and

9 unacceptable."

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Did I read that correctly?

11 A. You read that correctly.

Q. Okay. Do you recall any internal

13 correspondence between you and other faculty discussing

14 limitations on your endorsement of the faculty petition?

MS. QUIMBY: Objection, form.

16 A. I don't recall discussing specifically

17 limitations.

Q. Do you have any memory of any limitations on

19 the faculty endorsement that you insisted on at the time?

MS. QUIMBY: Objection, form.

A. No, I don't remember. I don't recall.

Q. If there are emails that are discussing that

23 subject, namely, limitations on the endorsements of the

24 students' letter, do you have any reason to believe that

25 they would give a misleading account of the faculty

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discussions at that time?

2 MS. QUIMBY: Objection, form.

A. Limitations? What limitations? Could you

4 rephrase the question, please?

Q. Sure. Did you -- were there any discussions

6 among the faculty, for instance, saying I don't want to

7 sign the students' statement because I don't want to go

8 this full distance, or I don't want to endorse that

9 specific part of the letter, or I want to choose this to

10 emphasize and not that? Any discussions of that nature

11 that you recall from that time period in July of 2020?

MS. QUIMBY: Objection, form.

13 A. We certainly discussed the details. I don't

14 recall what the details were. But the -- we -- when the

15 faculty let us say that we support the call for action,

16 I remember that we agreed that people should be

17 accountable.

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Q. Um-hum.

19 A. Responsible parties should be held accountable.

And to me, accountable is responsible.

Q. Okay.

22 A. And the examining the culture of UNT was

23 definitely a part of what we discussed for sure.

MR. ALLEN: Okay. I'm going to mark for

the record -- well, let's see again. I'll publish this

	5236	-	·· **=*=:
1	IN THE UNITED STATES DISTRICT COURT FOR THE EASTERN DISTRICT OF TEXAS	1	3 I N D E X
2	SHERMAN DIVISION		PAGE
		2	Appearances
3	TIMOTHY JACKSON, X		
4	X Plaintiff, X	3	Exhibit Index4
	X	4	Agreements 5
5	VS. X CASE ACTION	5	
6	X NO.: 4:21-cv-00033-ALM LAURA WRIGHT, ET AL., X	6	ANDREW JAY CHUNG
0	LAURA WRIGHT, ET AL., X	7	Examination by Mr. Allen
7	Defendants. X	8	·
_		9	Changes and Signature
8			·
9		10	Reporter's Certificate
		11	
10	ORAL AND VIDEOTAPED DEPOSITION OF	12	
11	ANDREW JAY CHUNG	13	
		14	
12	October 15, 2024	15	
13	(Reported Remotely)	16	
-	· • • • • • • • • • • • • • • • • • • •	17	
14		18	
15 16	ORAL AND VIDEOTAPED DEPOSITION OF ANDREW JAY	19	
17	CHUNG, produced as a witness at the instance of the		
18	Plaintiff, and duly sworn, was taken in the above-styled	20	
19 20	and numbered cause on October 15, 2024, from 9:05 a.m. to 12:46 p.m., via Zoom, before JENNIFER L. SANDERS, CSR in	21	
21	and for the State of Texas, reported by machine	22	
22	shorthand, the witness located in Worcester,	23	
23 24	Massachusetts, pursuant to the Federal Rules of Civil Procedure and the provisions stated on the record and/or	24	
25	attached hereto.	25	
1	A P P E A R A N C E S	1	EXHIBITS
2	FOR THE PLAINTIFF:	2	NUMBER DESCRIPTION PAGE
3	MR. MICHAEL THAD ALLEN	3	1 Re-Notice of Taking Deposition 9
4	Allen Harris, PLLC PO Box 404	4	2 Curriculum Vitae
	Quaker Hill, Connecticut 06375	5	3 Complication of Two Websites and One Journal
5	Office: 860-499-3399 Fax: 860-481-7899		Article concerning the Journal Spectrum 23
6	Email: mallen@allenharrislaw.com	6	4 Email from Timothy Jackson to Stephen
7	FOR THE DEFENDANTS:	7	Slottow, et al., dated 12/11/19, and other
8		8	email (UNT 563-566)50
9	MS. MARY QUIMBY Assistant Attorney General	9	5 Email from Stephen Slottow to Timothy Jackson, et al., dated 7/25/20, and other
10	General Litigation Division P.O. Box 12548		email (UNT 300-303)
	Austin, Texas 78711-2548	10	6 Material for the Committee (UNT 2645-2782) 80
11	Office: 512-463-2100 Email: mary.quimby@oag.texas.gov	11	(
12		12	7 Email from Timothy Jackson to others dated 7/26/20, and other email (UNT 304-309)
13	FOR UNIVERSITY OF NORTH TEXAS	13	8 Open Letter on anti-racist Actions Within SMT
14	MR. RENALDO L. STOWERS		(UNT 1090-1115)
15	MS. CARI JACOBY UNT System	14	9 Ad Hoc Review Panel Report of Review of
16	Office of General Counsel 801 North Texas Boulevard	15	Conception and Production of Volume 12 of the
17	Denton, Texas 76201 Office: 940-565-2717	16	Journal of Schenkerian Studies dated 11/25/20 (Jackson 208-233)
	Fax: 940-369-7026	17	10 Email from Music Information dated 1/5/22
18	Email: renaldo.stowers@untsystem.edu Email: cari.jacoby@untsystem.edu		(UNT 5521-5522)
19		18	11 Email from Music Information dated 11/23/21
20	THE VIDEOGRAPHER:	19	(UNT5523-5525)
21	Mr. Adam Scozzari	20	12 Facebook Post by Levi Walls dated 7/27/20
22	Legal Video Group Office: 214-598-5229	21	(Jackson 234-236)
23	Email: lvg.dallas@gmail.com		13 Email from Ellen Bakulina dated 7/29/20 143
24		22	
		23	
25		24 25	
			APPY NOX

1 open letter on anti-racist actions?

- A. I do not recall when that was.
- Q. Was it before or after the July 25 emails that
- 4 we had examined earlier?
- 5 A. It -- it has to have been after.
- 6 Q. Okay. Is it safe to say it was before the end
- 7 of July, if you know?
- 8 MS. QUIMBY: Objection; form.
- 9 A. It's not safe to say that. It was before -- I
- 10 don't know. It was before the beginning of 2024. I do
- 11 not recall the time when this letter was circulated.
- 12 Q. (BY MR. ALLEN) It certainly would have been in
- 13 2020, right?
- 14 A. It would have been in 2020 after July.
- 15 Q. 0kay
- 16 A. That's my assumption.
- 17 Q. And that's fine. I understand the limits of
- 18 memory.
- 19 MR. ALLEN: I'm going to mark for the
- 20 record as Exhibit 9 a document captioned ad hoc panel --
- 21 Ad Hoc Review Panel Report of Review of Conception and
- 22 Production of Volume 12 of the Journal of Schenkerian
- 23 Studies, and it is dated November 25, 2020.
- 24 (Exhibit No. 9 marked.)
- 25 Q. (BY MR. ALLEN) I'm going to represent to you,
 - 118
- 1 Professor Chung, that this is a report that was issued by
- 2 a so-called ad hoc panel convened to investigate the
- 3 Journal of Schenkerian Studies, supposedly objectively,
- 4 and charged with that by Jennifer Cowley, your former
- 5 provost.
- 6 You had already testified that you did not read
- 7 this document, so I'm not going to ask you to comment on
- $8\,$ it. But it does have, as exhibits, at the very end
- 9 various attachments, and it's those that I would like to
- 10 talk about.
- 11 A. Okav.
- 12 Q. This is one captioned Statement of UNT Faculty
- 13 on Journal of Schenkerian Studies, and it's signed by
- 14 you. Do you see that?
- 15 A. Correct.
- 16 Q. Do you recognize this attachment -- I'm not
- 17 asking you about the -- the total report, just this
- 18 attachment. Do you recognize this attachment?
- 19 A. Yes.
- Q. And what is this Statement of UNT Faculty on
- 21 Journal of Schenkerian Studies in Exhibit 9?
- 22 A. I take it as an expression of disapprobation
- 23 towards some of the contents of JSS Volume 12.
- Q. And -- let me see if we can...
- 25 Here you also say you stand in solidarity with

1 your graduate students in their letter condemning the

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- 2 Journal of Schenkerian Studies, right?
- 3 A. That is what that says, correct.
 - Q. What does that refer to?
- 5 A. What does what refer to?
 - Q. The letter of condemnation that the graduate
- 7 students apparently wrote concerning the Journal of
- 8 Schenkerian Studies.

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- 9 A. Well, that is referring to, I believe, the
- 10 document in the second link.
- 11 Q. The link right here that I am --
- 12 A. Correct.
 - Q. Oops. I just launched it.
- 14 I'm highlighting it here. It follows in the
- 15 second paragraph after the statement, "We endorse the
- 16 call for action outlined in our student letter"?
- 17 A. Yeah.
- 18 0. That URL?
 - A. Yeah. Correct.
- Q. And I am just going to represent to you that
- 21 that URL would lead to this attachment to Exhibit 9.
 - A. Correct.
- Q. Is this the letter you remember endorsing?
- 24 MS. QUIMBY: Objection; form.
 - A. This is the letter to which we -- we refer in
- 1 the faculty letter.
 - Q. (BY MR. ALLEN) Okay. Again, it says here
- 3 the -- referring to Volume 12 of the Journal of
- 4 Schenkerian Studies, "It's replete with racial
- 5 stereotypes and tropes," right?
- 6 A. Correct.
- 7 Q. And those were the same disparaging comments
 - you believe were also what you were thinking about when
- 9 you signed the SMT letter -- the SMT open letter?
- 10 A. Correct.
- 11 Q. Were there any others that you were thinking of
- 12 when you signed this -- you were referring to when you
- 13 signed this letter?
 - A. Not that I recall.
- Q. Okay. And then you say in this letter you've
- 16 signed of UNT faculty, "The fact that he was not afforded
- 17 the opportunity to respond in print in unacceptable, as
- 18 is the lack of a clearly defined peer-review process."
- 19 Did I read that correctly?
 - A. Correct.
 - Q. Who does "he" refer to in that sentence?
- 22 A. Professor Ewell.
 - Q. And so you're making an assertion of fact that
- $24\,$ he was not afforded the opportunity to respond in print,
- 25 right?

127 1 Okay. Good. Did you consider this part that 1 right? 2 you endorsed, "Publicly condemn the issue and release it MS. QUIMBY: Objection; form. free online to the public"? I was not aware of that. 4 MS. QUIMBY: Objection; form. (BY MR. ALLEN) Did you know that Timothy 5 A. I endorse the ability of the students to -- to, Jackson wrote a response to this report? No. I knew of no such writing. 6 you know, make these kinds of calls. 7 (BY MR. ALLEN) Sure. Are you aware of any calls at the University of 8 Express their voices like this. North Texas to provide a, quote, full public account of 9 Uh-huh. But that's not what your letter says, the editorial and publication process so that it includes 10 is it? It says, "We endorse the call for action outlined Timothy Jackson's response? 11 in our students letter," right? 11 MS. QUIMBY: Objection; form. 12 MS. QUIMBY: Objection; form. 12 A. I am not aware. 13 A. I took that -- I took that as, you know, 13 (BY MR. ALLEN) Okay. Now, another thing they 14 endorsing their general sentiments through the letter. I 14 called for, the graduate students, is to dissolve the 15 15 certainly endorse their rights to express themselves and JSS, right? 16 to -- to declare their opinions and to ask for certain 16 Α. Uh-huh. 17 17 kinds of actions. And we've already established that the JSS has Q. (BY MR. ALLEN) Incidentally, they also called 18 not published since the time of the publication of these 18 19 for providing a full public account of the editorial and 19 statements, correct? 20 publication process and its failures, right? 20 A. Sure. 21 A. Correct. 21 Q. So that succeeded, correct? 22 Q. And you endorsed that call for action? 22 MS. QUIMBY: Objection; form. 23 MS. QUIMBY: Objection; form. 23 A. That's debatable. I mean, journals can stop 24 24 A. Sure. I think when a journal is asked to -- to publishing for all sorts of reasons other than 25 clarify its procedures in the name of transparency, I 25 dissolution. 128 1 don't see why that's an objectionable thing to ask for. 1 Q. (BY MR. ALLEN) But you do know that it hasn't 2 I certainly -- I endorse that. published again, right? 3 Q. (BY MR. ALLEN) Would a full public account of A. That doesn't imply being dissolved. I do know 4 the editorial and publication processes be objective, it has stopped publication, yes. 5 5 using the words of Jennifer Cowley here, if it excluded Q. Are you aware of any editorial board indications that Levi Walls was lying about his constituted at the present time that is in the process of 7 relationship with Professor Jackson? publishing the Journal of Schenkerian Studies? 8 8 MS. QUIMBY: Objection; form. No such board exists to my knowledge. I could A. I'm not sure -- I'm not sure under -- can 9 9 be wrong. 10 10 you -- I'm sorry, I think I lost my train of thought --Q. But you think it's still a matter of mystery as 11 Q. (BY MR. ALLEN) Sure. 11 to whether the JSS has dissolved? 12 -- in the middle of your question. 12 MS. QUIMBY: Objection; form. 13 That's fine. 13 A. I never said such a thing. I said the 14 14 MR. ALLEN: Please restate the question to process --15 the witness, Madam Court Reporter. 15 Q. (BY MR. ALLEN) Let's skip down -- I'm sorry. 16 (Requested portion was read.) 16 Go ahead. 17 17 A. I mean, I'm -- I'm -- I was not privy to the --I said the JSS very clearly has stopped publishing. Whether it was dissolved, per se, I'm not 18 privy to the -- the conversations of -- having to do with 18 19 the editorial board. I am not privy to understanding or 19 privy to such information. 20 20 Q. Number 3 here -- I don't know why I can't get whether Levi was speaking truthfully or mendaciously some point or another. I don't have any insight into that 21 it -- grab it. But do you see this in highlight? 21 22 22 question. Yes. 23 23 (BY MR. ALLEN) And did know that this It says, "Hold accountable every person 24 Exhibit 9, the ad hoc panel report, was published online responsible for the direction of the publication. This 25 will involve recognizing both whistleblowers and those 25 and remains online by the University of North Texas,

1

6

16

19

1 who failed to heed them in this process."

- Do you know who is being referred to there as
- 3 a, quote, whistleblower?

4

- A. Specifically, no.
- 5 Q. You didn't bother to find that out before you,
- 6 quote, endorsed the call for action in this letter?
- 7 MS. QUIMBY: Objection; form.
- 8 A. I endorse students abilities to make these kind
- $9\,$ of calls. I endorse students, you know, abilities to ask
- 10 for more transparency.
- 11 Q. (BY MR. ALLEN) Did you make any effort to find
- 12 out who the so-called whistleblowers were?
- 13 A. I did not.
- 14 Q. It also goes on to say, "This should also
- 15 extend to investigating past bigoted behaviors by faculty
- 16 and, by taking this into account, the discipline and
- 17 potential removal of faculty who use the JSS platform to
- 18 promote racism. Specifically, the actions of
- 19 Dr. Jackson -- both past and present -- are particularly
- 20 racist and unacceptable."
- 21 Did I read that right?
- 22 A. That is what the document says, correct.
- Q. Did you investigate the truth or falsehood of
- 24 any of those statements before signing the faculty
- 25 statement?

130

- 1 MS. QUIMBY: Objection; form.
- 2 A. Here I defer to people with more institutional
- 3 knowledge than -- than I have.
- 4 Q. (BY MR. ALLEN) I'm asking you about what you
- 5 did before you signed the statement. Did you look into
- 6 whether Timothy Jackson had engaged in specific racist
- 7 actions before endorsing the student statement with your
- 8 signature?
- 9 A. If such things -- if such things have been
- 10 alleged, then they should be investigated.
- 11 Q. No, that's not my question.
- 12 My question is: Did you do anything to confirm
- 13 that Timothy Jackson had committed some sort of racist
- 14 action before you endorsed the faculty statement with
- 15 your signature which incorporated by reference this call
- 16 to action?
- 17 MS. QUIMBY: Objection; form.
- 18 A. I did not.
- 19 Q. (BY MR. ALLEN) Okay. Do you recall there
- 20 being any discussion amongst you as faculty [audio cut
- 21 out] about -- you know, limitations about what you
- 22 wished?
- 23 (Reporter clarification.)
- $\,$ Q. (BY MR. ALLEN) $\,$ Do you recall in this July 2020 $\,$
- 25 time frame while you were formulating -- you -- the

- faculty, meaning you the faculty, were formulating this
- 2 statement of UNT faculty on the Journal of Schenkerian
- 3 Studies you discussed with your colleagues your
- 4 limitations on what you were choosing to endorse and what
- 5 not to endorse in the student statement?
 - MS. QUIMBY: Objection.
- A. But limitations are always implicit. As a
- $8\,\,\,\,\,\,\,$ professor, you never endorse everything that students say
- 9 because by -- by virtue of the fact that they are
- 0 students. This is a general endorsement, not a
- 11 line-by-line-type of endorsement.
- 12 Q. (BY MR. ALLEN) So my question was different.
- 13 My question is: Do you recall any discussions
- 14 with your fellow faculty members about what you were
- 15 endorsing and what you weren't endorsing?
 - A. No
- 17 Q. Okay. Do you know of any documents that would
- 18 help refresh your memory of any such conversations?
 - A. At the moment I do not recall.
- Q. I just have one more line of questioning about
- 21 what I'll just loosely call the diversity, equity, and
- 22 inclusion policies at the University of North Texas
- 23 back in -- back in this time frame.
- 24 But before we start that, can you just explain
- 25 for the record what you understand by diversity at the
- 132
- 1 University of North Texas?
 - A. Openness to a wide variety of viewpoints.
 - 3 Openness to, you know, recognizing the dignity of
 - 4 multiple aesthetic cultures. Openness to, for instance,
 - 5 being equally welcoming to students of all ethnic
 - 6 backgrounds and faculty as well.
 - 7 Q. And could we throw in gender as well, equally
 - 8 welcoming to all?
 - A. Yes

9

- 10 Q. Okay. And, just similarly, can you describe
 - for the record what you understand by inclusion as used
- 12 at the University of North Texas?
- 13 A. In University language, my understanding is
- 14 that these -- these diversity and inclusion,
- 15 particularly, have much of the same denotation.
- 16 Inclusion refers to, you know, allowing equal access for
- 17 voices from different sorts of perspectives to -- to take
- 18 part in classroom and, you know, administrative and
- 19 pedagogical conversations.
- Q. So I'm not trying to put words in your mouth,
- 21 but does that mean sort of everything you described as
- 22 diversity plus making sure those groups or individuals
- 23 that were encompassed within the diverse umbrella were
- 24 also included in the educational programs of the
- 25 University?

	5740		
1	749°	1	151 That the amount of time used by each party at
2		2	the deposition is as follows:
3 4		3	MR. MICHAEL THAD ALLEN: 3 Hour(s), 10 Minute(s)
5	T ANDRELL IAV OURNO I	4	
6	I, ANDREW JAY CHUNG, have read the foregoing deposition and hereby affix my signature that same is	-	
7	true and correct, except as noted above.	5	That pursuant to information given to the
8		6 7	Deposition officer at the time said testimony was taken, the following includes counsel for all parties of record:
		8	FOR THE PLAINTIFF:
9			
10	ANDREW JAY CHUNG	9	MR. MICHAEL THAD ALLEN
11		10	Allen Harris, PLLC PO Box 404
		'	Quaker Hill, Connecticut 06375
12	STATE OF)	11	Office: 860-499-3399
13	COUNTY OF)	12	Fax: 860-481-7899 Email: mallen@allenharrislaw.com
14	Poforo mo	'-	Email: mailtonearronnairristaw.com
14	Before me,, on this day personally appeared ANDREW JAY CHUNG, known to me (or	13	
15	proved to me under oath or through	14	FOR THE DEFENDANT:
16		' -	MS. MARY QUIMBY
17	subscribed to the foregoing instrument and acknowledged	15	Assistant Attorney General
17	to me that they executed the same for the purposes and consideration therein expressed.	16	General Litigation Division P.O. Box 12548
18	Given under my hand and seal of office this	10	Austin, Texas 78711-2548
19	, day of	17	Office: 512-463-2100
20		18	Email: mary.quimby@oag.texas.gov
20		10	
21	NOTADY DUDI TO THE AND FOR	19	That \$ is the deposition officer's
22	NOTARY PUBLIC IN AND FOR THE STATE OF	20	charges to Mr. Michael Thad Allen, Attorney for
	COMMISSION EXPIRES:	21 22	<u>Plaintiff</u> , for preparing the original deposition transcript and any copies of exhibits;
23		23	I further certify that I am neither counsel
24		24	for, related to, nor employed by any of the parties or
25	150	25	attorneys in the action in which this proceeding was
1	IN THE UNITED STATES DISTRICT COURT		152
•	FOR THE EASTERN DISTRICT OF TEXAS	1 2	taken, and further that I am not financially or otherwise interested in the outcome of the action.
2	SHERMAN DIVISION	3	
		4	Certified to by me this day of
3	TIMOTHY JACKSON, X	5	
	X	"	
4	Plaintiff, X	6	
5	X VS. X CASE ACTION	ľ	JENNIFER L. SANDERS, CSR No. 5091
5	X NO.: 4:21-cv-00033-ALM	7	Expiration Date: 10/31/26
6	LAURA WRIGHT, ET AL., X		JULIA WHALEY & ASSOCIATES
	X	8	2012 Vista Crest Drive
7	Defendants. X		Carrollton, Texas 75007
		9	Firm Registration No. 436
8			214-668-5578 (Office)
9	DEDODTEDIS CERTIFICATION	10	214-236-6666 (Fax)
10	REPORTER'S CERTIFICATION DEPOSITION OF ANDREW JAY CHUNG	11	
11	October 15, 2024	12	
12	(Reported Remotely)	13	
13	(4,	14	
14		15	
15	I, Jennifer L. Sanders, Certified Shorthand	16	
16	Reporter in and for the State of Texas, hereby certify to	17	
17	the following:	18	
18 19	That the witness, ANDREW JAY CHUNG, was duly	19	
20	sworn by the officer and that the transcript of the oral deposition is a true record of the testimony given by the	20	
21	witness;	21	
22	That the deposition transcript was submitted on	22	
23	to <u>Ms. Mary Quimby</u> , attorney for	23	
24	ANDREW JAY CHUNG, for examination, signature and return	24	
0.5	to me by :	25	

EXHIBIT D

APPX.013

EXHIBIT
9
Jennifer Sanders, CSR
Oct 15, 2024

AD HOC REVIEW PANEL



REPORT OF REVIEW OF CONCEPTION AND PRODUCTION OF VOL. 12 OF THE JOURNAL OF SCHENKERIAN STUDIES

NOVEMBER 25, 2020

JACKSON000208

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Executive Summary

This is a report by the five-member Ad Hoc Journal Review Panel, comprised of UNT faculty members outside of the College of Music, who are current or former editors of scholarly journals. The panel was charged with examining the processes followed in the conception and production of Volume 12 of the *Journal of Schenkerian Studies* (JSS), especially whether the standards of best scholarly practice were followed. Further, the panel was to make recommendation to improve editorial processes, where warranted.

After an extensive review of documents and interviews of eleven (11) individuals, including the principals involved in the conception and publication of Volume 12, the panel identifies significant problems with the editorial management structure of JSS as well as with the review processes employed by the journal for the special section in Volume 12.

In sum, we do not find that the standards of best practice in scholarly publication were observed in the production of Volume 12 of the JSS. The panel recommends

- 1. Changing the editorial structure of JSS
- 2. Making clear and transparent all editorial and review processes
- 3. Defining clearly the relationships between the journal editorial team and the editorial board, MHTE, and the UNT Press.

Report of the Journal of Schenkerian Studies Ad Hoc Review Panel

The Panel's Charge

The Ad Hoc Journal Review Panel is comprised of five faculty members who either currently serve, or have served, as scholarly journal editors. Members are: Jincheng Du, Professor of Materials Science and Engineering and Editor of the Journal of American Ceramic Society; Francisco Guzman, Professor of Marketing and current Coeditor-in-Chief of the Journal of Product & Brand Management; John Ishiyama, University Distinguished Research Professor of Political Science and former Editor-in-Chief of the American Political Science Review and the Journal of Political Science Education; Matthew Lemberger-Truelove, Professor of Counseling and current Editor of the Journal of Counseling & Development; and Jennifer Wallach, Professor of History, Chair of the Department of History and former Editor of History Compass.

On August 6, 2020, we received an email from Provost Jennifer Cowley that invited the members of the panel (all of who are faculty members from outside of the University of North Texas College of Music) to serve. In that email the Provost stated that the purpose of the panel was to examine "objectively the processes followed in the conception and production of Volume 12 of the *Journal of Schenkerian Studies* (JSS). The panel will seek to understand whether the standards of best practice in scholarly publication were observed and will recommend strategies to improve editorial processes where warranted." (Exhibit 1).

Our panel met with Provost Jennifer Cowley on August 12, 2020. At that meeting we were formerly charged by the Provost. This report includes a review of the managerial, editorial, and review processes employed by the JSS, and an examination of how those practices related to the production of Volume 12.

Background Information & Scope of Review

Given that the panel's charge was provided to the complete panel on August 14, 2020 (Dr. Francisco Guzman was added to the panel on that date) and that the Fall semester began on August 24, the panel members agreed to have our first organizational meetings after the semester began. Our first meeting was held on September 1, 2020. Between September 1 and October 15, we interviewed a total of eleven (11) individuals who had knowledge about the production of Volume 12, as well as of the general editorial and review processes employed by the journal. These included the journal's most recent editors (Dr. Benjamin Graf and Mr. Levi Walls), members of the editorial advisory team (Dr. Timothy Jackson and Dr. Stephen Slottow), representatives of the UNT Press (Mr. Ron Chrisman and Ms. Karen DeVinney)¹, the Division Head of Music History, Theory, and Ethnomusicology (hereafter referred to as MHTE) (Dr. Benjamin Brand), and the Dean of the UNT College of Music (Dr. John Richmond). Further,

¹ The UNT Press publishes the *Journal of Schenkerian Studies*.

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we interviewed three former members of the JSS editorial board (Dr. Ellen Bakulina and Dr. Diego Cubero) both faculty members of the UNT College of Music, and Dr. Graham Hunt, Professor and Associate Chair of Department of Music at the University of Texas at Arlington. All interviews were conducted virtually, via ZOOM. The panel also reviewed documents that were shared by the interviewees.

Our Review

To begin, we first reviewed the concerns expressed about the journal's editorial and review processes raised in public statements issued by three different groups:

- 1) the statement issued by the Executive Board of the Society of Music Theory (SMT) https://societymusictheory.org/announcement/executive-board-response-journal-schenkerian-studies-vol-12-2020-07; (Exhibit 2)
- 2) the statement of a group of graduate students from the Division of MHTE https://drive.google.com/file/d/1PekRT8tr5RXWRTW6Bqdaq57svqBRRcQK/view?sh ow_popup=false; (Exhibit 3)
- 3) a statement in support of the graduate student statement made by faculty members of the Division of MHTE https://www.ethnomusicology.org/news/519784/Statement-of-UNT-Faculty-on-Journal-of-Schenkerian-Studies.htm. (Exhibit 4).

We examined these statements because they appeared to be representative of the broader public concerns expressed about the JSS Volume 12 and were the first to be publicly issued since its publication. These statements were authored by the major professional society of Music Theory (the executive board of SMT), and graduate students and faculty members from the Division of MHTE. The SMT statement reflects the reaction of the leadership of the profession, and the statements by the UNT MHTE faculty and graduate students represents the concerns of members of the UNT community familiar with music theory and the JSS.

All three statements raised serious concerns about the editorial and review practices employed by JSS. Given that our panel's charge was to focus on the concerns expressed about the editorial and review processes employed by the journal, we structured our review around three issues:1) whether the journal's editorial team subjected submissions to Volume 12 to a process of peer review consistent with the standards of best practice in scholarly publication; 2) the circumstances surrounding the journal's publication of an anonymously authored contribution; and 3) the circumstances surrounding the JSS's decision not to invite the individual whose presentation at the SMT conference was the subject of Volume 12, Dr. Phillip Ewell, to respond in the symposium to the essays that discussed his work.

Report Structure

We report the results of our review in four sections:

- the general editorial and review processes employed by JSS;
- the editorial and review processes used for Volume 12;

- the process that led to the publication of an anonymously authored contribution; and
- the decision not to invite the scholar whose presentation was the topic of part of Volume 12 to respond to the essays that discussed his work

The Current Editorial Structure and General Review Processes

To assess whether the editorial and peer review processes employed by JSS meet "standards of best practice in scholarly publication" (as stated in the panel's charge) it is important to outline the current editorial managerial and review processes used by JSS.

JSS Managerial Structure

Based upon our review of the journal's website (https://mhte.music.unt.edu/journal-schenkerian-studies), which only describes the submission process, and our interviews with the editors and the editorial advisory board, the journal's managerial structure includes an editor, [previously Dr. Benjamin Graf, who was to be succeeded by Levi Walls], an "editorial advisory board" comprised of Dr. Jackson and Dr. Slottow, who provide "guidance" for the journal, and an editorial board made up of scholars in the field who are often asked to review manuscripts. The editorial board has no supervisory role and is not provided with annual journal status reports. It appears that its function is to provide a pool of potential reviewers for submitted manuscripts.

The editor of the journal has always been a graduate student, except Benjamin Graf, who was a graduate student when he started the editor of JSS in 2014 and earned his PhD from UNT MHTE in May 2016 and is currently employed as a Lecturer by the Division. Although the justification as provided by the editorial advisors was that JSS is a "student run journal" (although Dr. Ben Graf was appointed as a UNT Senior Lecturer in Fall 2017 and was therefore not a student for volume 12) which is designed to provide editorial experience for graduate students, Dr. Slottow and Dr Jackson stated that the journal actually publishes mostly works from established scholars rather than students. The panel was told that the student-editors largely made all decisions regarding publication of manuscripts.

It appears that historically all the editors of JSS have been students of Dr. Jackson. The editors who were interviewed by the panel reported that they were uncomfortable in making decisions and recommendations that ran counter to the preferences of Dr. Jackson, their major faculty advisor. In part, Dr. Graf and Mr. Walls said to us that this situation made it difficult to raise objections relating to concerns about the submissions to the symposium section of Volume 12.² According to the editors, as well as to Dr. Slottow, Dr. Jackson "took the lead" on this section

² Dr. Jackson said that this portion of Vol 12 is "like a commentary" section in his meeting with our panel. However, this was not called a commentary section when the volume was published. Rather, in the table of contents the section containing the pieces about Dr. Ewell's talk are labeled "symposium" (Exhibit 5). The panel notes there is no special marker in Volume 12, including in the symposium section, that designates any piece as a "commentary."

in Volume 12.³ Drs. Slottow and Jackson said that this was the first time the journal had published such a special section.⁴

JSS General Review Process

In terms of the general review processes used by JSS, no written processes for review were provided to the panel and after questioning the editors, no such document exists. However, the editors and editorial advisors described the general review process as involving recruiting two reviewers (sometimes from the editorial board but at times recruited from outside the editorial board) who would provide a report to the editors and then a decision was made whether to accept, reject, or invite a revise and resubmission of the piece. Dr. Graf told the committee that rejection was a very rare occurrence.

No documents were provided that described the normal review process, although Dr. Jackson provided us with a collection of emails that he said outlined the review process for what he referred to as the "commentary" section of Volume 12. These emails however only generally discussed the special section in Volume 12 and did not lay out specifically the review procedures to be employed for these essays.

The Editorial and Review Processes Employed for Volume 12

As to the review process employed for Volume 12, Dr. Jackson told us that this type of special section had never been done by JSS before.⁵ Volume 12 also included three "regular" articles (a term used by Dr. Graf), which had been peer reviewed and were scheduled to be published in Volume 12. The processing of these articles had been completed by November 2019. For these three articles, Dr. Graf was designated as the editor. For the special section (referred to as a symposium in the table of contents for Volume 12), Levi Walls was designated as the editor.

The "Special Section" of Volume 12

In our discussion with Drs. Jackson and Slottow, both said they felt the need to include articles responding to "attacks" on Schenkerian scholars by Dr. Ewell in his plenary talk at the SMT conference, and that JSS was the appropriate venue for such responses. In explaining this decision, both Dr. Jackson and Dr. Slottow noted that unlike prior plenaries at SMT where a

³ In his interview with the panel, Dr. Jackson repeatedly referred to the section as a "commentary" section suggesting that this meant that the essays did not require peer review. Yet in the email correspondence sent by him to others discussing this section, prior to our interview with him, the term "symposium" or "symposia" is mentioned 22 times, but the term "commentary" is not mentioned at all.

⁴ There had been previous volumes where the entire volume was dedicated to a special topic, but not a section of a regular volume. For purpose of this report, the term "special section" will be used to refer to the section of Volume 12 containing the essays that respond to Dr. Ewell's presentation. Where pertinent, the report will use the words "symposium" and "commentary." ⁵ Commentary sections vary from journal to journal, but they generally involve commentaries provided about articles that are published by the journal. A symposium on the other hand refers to a section of a journal that includes several short articles built around a particular topic.

question and answer session was held after the talk was completed, no such session occurred after Dr. Ewell's talk. Thus, they said they believed that it was necessary that a response be made to Dr. Ewell's talk as soon as possible, and that those responses should appear in JSS. According to Benjamin Graf, who was then editor of JSS, three (3) "normal" articles had already been completed or nearly completed by December, which would have been the normal number of articles published in a journal volume.⁶

However, Dr. Jackson said that after Dr. Ewell's talk, he believed it necessary to include responses to the talk in Volume 12. Thus, a special call for submissions that would respond to Dr. Ewell's talk was distributed at the end of December 2019, and an expedited process was initiated to process the submissions quickly. The deadline set in the call for submissions was January 20, 2020. (Exhibit 6). In short, a call for contributions was made at the end of December, with the intention of completing the entire process by March 2020, (i.e., within roughly three months).

The Editorial and Review Processes

Mr. Levi Walls, who was slotted to succeed Dr. Graf as editor, was charged with editing the special section of Volume 12. Mr. Walls reported that the pieces that were published as part of this section were not subject to peer review, and this was confirmed by Drs. Graf, Slottow, and Jackson. Dr. Jackson stated that since the pieces were meant to be "commentaries" and not "normal articles," they did not require peer review. He explained that peer review was unnecessary because: 1) the contributors were all very notable scholars in the field and their reputations were sufficient to guarantee the quality of the contributions; ⁷ and 2) all of the editors (which we understand to mean Drs. Jackson, Slottow, Walls, and Graf) read every piece suggesting that these contributions were "editor reviewed."

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"The majority of the authors are well-known, highly seasoned scholars, ranging from the Chair of the Harvard Music Department to the authors of books on Schenker and Schenkerian analysis. If you want to use the word "vetting" in this context of allowing distinguished scholars to communicate their views, then you can say that the respondents were "vetted" on the basis of their academic qualifications. The distinguished pedigrees of the contributors is supported by their short biographies at the end of the issue."

⁶ According to the representatives of the UNT Press, Ron Chrisman and Karen DeVinney the deadline for the UNT Press to receive articles for publication in Volume 12 was March 2020. ⁷ According to Levi Walls, the standard used to assess the quality of the contributions in the special section of Volume 12 was the reputation of the author of the contribution. In other words, other normally used criteria for evaluation of contributions to JSS were not used for the special section. Mr. Walls shared with us an excerpt from an email where Dr. Jackson responded to questions about the review process for the contributions to the special section:

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However, Dr. Graf and Dr. Slottow said that they did not read every contribution. Both said they only read a few, in contrast to the claim made by Dr. Jackson that all the editors read every contribution.

Levi Walls informed the panel that he read each piece but had multiple concerns, as the editor, about proceeding with several of the contributions. He said he shared these concerns with Dr. Benjamin Brand (the Division Head of MHTE) and Dr. Graf, and then directly with Dr. Jackson. However, he said these concerns were dismissed by Dr. Jackson.⁸

Mr. Walls reported to the panel that he raised concerns to Dr. Jackson about the content of the pieces as well as the quality of writing in February 2020. He stated that after raising concerns, he was taken into Dr. Jackson's car, where Dr. Jackson told him that it was not his "job to censor people" and was told not to do it again. He said Dr. Jackson told him that since these were senior scholars, their reputations were enough to vet them. Dr. Graf confirmed that Levi Walls shared information about his encounter with Dr. Jackson around the time of its occurrence. This was followed by the final decision, made by Dr. Jackson (according to both Dr. Graf and Mr. Walls) to proceed with the publication of several of the pieces without substantial modifications.

Publication of Submissions by Dr. Jackson and Dr. Slottow

Both Dr. Jackson and Dr. Slottow contributed pieces to the special section of Volume 12. When asked about precautions taken to prevent a potential conflict of interest that arose with the publication of papers by Dr. Jackson and Dr. Slottow in Volume 12 (since Dr. Jackson made the final decision on publication), none of the editors, nor the editorial advisors, could identify any special precautions employed to address these potential conflicts of interest.

The Publication of an Anonymously Authored Contribution

Our panel also reviewed the process that led to the publication of an anonymously authored contribution. The panel noted, first, anonymous contributions, although uncommon, are not unprecedented in academic journal publishing. Several notable examples exist historically. For instance, an article in an International Relations journal, *Foreign Affairs*, was authored by a person who was assigned the pseudonym "X" in 1947. In 2000, in the field of Political Science, there was a contribution critical of the *American Political Science Review* authored by an individual using the pseudonym "Mr. Perestroika." Although not an academic journal, an editorial in the *New York Times* last year, which was highly critical of the President Donald Trump administration, was purportedly written by an "insider" and was authored anonymously. Thus, there are some limited precedents where editors allow anonymously authored contributions.

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⁸ Dr. Brand confirmed this meeting with Levi Walls when we interviewed him. Dr. Graf confirmed the existence of email communications between him and Mr. Walls about Mr. Walls' concerns.

⁹ The author later was identified as George Kennan, a United States diplomat.

The editorial advisory team of Drs. Jackson and Slottow apparently made the decision to proceed with publication of the anonymous piece. Levi Walls informed the panel that he raised concerns about this contribution with Dr. Jackson. The panel asked the editorial advisors the reason for allowing the publication of an anonymously authored contribution. Dr Jackson informed the panel that anonymity was granted because the author of that piece feared retaliation that would jeopardize the author's career. He reported that the author was a junior scholar. ¹⁰

Absence of Contributions from Dr. Ewell to the "commentary" section

The panel asked the editors (Dr. Graf and Mr. Walls) and the editorial advisors (Drs. Jackson and Slottow) why Dr. Ewell was not invited to respond to the contributions in Volume 12, and whether that had been considered. All of them replied that inviting Dr. Ewell had not been considered until controversy arose concerning the volume in the summer of 2020. Only then did the idea emerge that perhaps Dr. Ewell could be invited to respond in Volume 13. However, that was not part of the original plan and was only considered as an option once the controversy over the contents of Volume 12 escalated.

Further, both Dr. Jackson and Dr. Slottow said that they believed that since Dr. Ewell had been given an uninterrupted opportunity to express his viewpoints at the SMT conference, commentators on Dr. Ewell's talk should also have the opportunity to express their views freely. Thus, Dr. Ewell was not invited for that reason. In retrospect, Dr. Slottow expressed regret about that decision.

Findings

After completing our review regarding the four concerns listed above, we find the following:

- 1) In general terms, there are several structural problems with the editorial and review processes employed by the journal generally and Volume 12 specifically.
 - a. There is a structural flaw in the power disparity between the JSS editor (a graduate student or former graduate student) and the editorial advisor, Dr. Jackson. In many ways this created a fundamental power asymmetry in the management of the journal. This was acknowledged in an interview by Dr. Slottow when he acknowledged that this "power imbalance" was a major problem with the journal. This was also observed by the current journal editors and other members of the editorial board

Indeed, since the editors were invariably students of Dr. Jackson, this made it very difficult for the editors to contradict his wishes. Both the editors, Dr. Graf and Mr. Walls, reported to us they felt unable to voice their concerns about the

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¹⁰ The committee did not ask the name of the author and the committee was not provided any documents about the identity of the author.

editorial process in general and that this was especially true for the "commentary" section of Volume 12.

This arrangement also exposed the graduate student editors to potential negative consequences, particularly if controversy arose over what was published (e.g. Volume 12). The editor should not have been a graduate student, especially for a potentially very controversial issue.

- b. There are no clear procedures that ensure that potential conflicts of interest in the review process are avoided with regard to editor (or editorial advisor) self-publication. As one widely known and authoritative organization that provides guidance for journal editors and publishers, the Committee on Publication Ethics (COPE 2019, 7), states, a "journal must have a procedure for handling submissions from editors or members of the editorial board that will ensure that the peer review is handled independently of the author/editor." Moreover, COPE recommends that if an editor publishes in their own journal that the process is clearly described in a note in the volume once the paper is published. Given the structure of editorial management of the journal, the panel does not believe that procedures to ensure the avoidance of conflicts of interest have been adopted or followed in the publication of any volume of the JSS, including Volume 12.
- c. There are no written procedures employed by JSS to ensure that transparent review processes are conducted. This practice is not consistent with standards for editorial management. COPE recommends that "all peer review processes must be transparently described and well managed. Journals should provide training for editors and reviewers and have policies on diverse aspects of peer review, especially with respect to adoption of appropriate models of review and processes for handling conflicts of interest, appeals and disputes that may arise in peer review" (https://publicationethics.org/peerreview). There is no evidence that this was the general practice employed at JSS, or the practice employed for Volume 12.
- 2) The editorial and review processes used for Volume 12.
 - a. The special section for Volume 12 was conceived between late December 2019, when a call for contributions was issued, and March (the planned date for

¹¹ The Committee on Publication Ethics (COPE) is a nonprofit organization whose mission is to define best practices in the ethics of scholarly publishing and to assist editors, publishers, etc. to achieve this. COPE also has links with the *Council of Science Editors*, the *European Association of Science Editors*, the *International Society of Managing and Technical Editors*, the *World Association of Medical Editors*, *Open Access Scholarly Publishers Association*, *Directory of Open Access Journals*, and the *Association of Learned and Professional Society Publishers*. It is also used as guidelines for major university publishers such as Cambridge University Press and Oxford University Press.

completion). No defined procedures for the special section were established. This is unusual given that this was the first time such a section had been included in JSS, and the editorial team knew, or reasonably should have anticipated, that it would be controversial. There is no evidence that the editorial team engaged in a careful deliberative process in laying out how such a special section would be put together. Although in the experiences of members of the panel there is no universal standard that governs procedures for journal special sections, the fact that the editorial team had not carefully laid out a plan as to how to process contributions, at the very least, indicates a lapse in judgment and decision making.

b. In the panel's meeting with Dr. Jackson, he indicated that the symposium in Volume 12 more closely reflects what is customarily understood as a "commentary" section in academic journals. Although Dr Jackson contended that the contributions in response to Dr. Ewell's presentation are consistent with commentary pieces, as noted in footnote 5 above, these pieces really were much more like a symposium. Commentaries are generally seen as referring to papers already published in the journal, not on topics such as that addressed in volume 12. In any case, there is nothing to indicate that these contributions were part of an *a priori* planned "commentary" section, but rather was a symposium. Symposia in journals, at least the ones with which the expert panel are familiar, are subject to peer review. This clearly did not happen in Volume 12.

There is a precedence in academic journal publishing for "editorial reviews," which is generally limited to Book Reviews. However, these require multiple

https://journalofinequalitiesandapplications.springeropen.com/submission-guidelines/preparing-your-manuscript/commentary). In many journals the commentaries are peer reviewed. In others, such as the latter, the commentaries are editor reviewed. What appeared in Volume 12 of JSS do not generally qualify as commentaries, at least in the sense of the way "commentary" is used in many scholarly journals with which the panel is familiar (including the *American Political Science Review*).

¹² This finding is based on the panel's experience as well as our review of "commentary" sections of numerous journals in a variety of academic fields. Although not a collectively exhaustive list, the following exemplify what is generally meant by the term. A commentary is defined by the journal *Music Theory Online* (an SMT publication) as "focused on a particular article or other published item" in the journal (https://mtosmt.org/docs/authors.html#Submit). This conceptualization of commentaries is shared across disciplines. A journal in health studies defines a commentary as "generally short, and usually blends scholarship and opinion that comment on a newly published article" by the journal (*International Journal of Qualitative Studies on Health and Well-being https://www.ncbi.nlm.nih.gov/pmc/articles/PMC4789530//)*. Similarly a journal in the social sciences, the *Journal of Inequalities and Applications*, defines a commentary as a response to articles published in that journal or "short (2-3 pages maximum), narrowly focused articles that are responses of recently published articles that are interesting enough to warrant further comment or explanation."

members of the editorial team to agree to publication to ensure that conflicts of interest do not jeopardize the integrity of the publication process.

However, in the case of the essays that commented on Dr. Ewell's talk, there appears to have been no peer or complete editorial review of the pieces published. Although Dr. Jackson stated several times that all of the essays were reviewed by all of the editors and editorial advisors, at least two of them said they had not read all of the essays, and Levi Walls said he raised significant concerns about several essays (including concerns about the content of the essays and the quality of the writing) but those concerns were later dismissed by Dr. Jackson. Only Dr. Jackson states that he reviewed all the pieces, but he also said that his editorial criteria were the academic status and reputation of the contributors. ¹³ This may be the criteria for inclusion in a newsletter or a generally unreviewed electronic posting, but this is not an established or accepted criterion for judging publishable merit in a reputable academic journal.

- 3) The publication of an anonymously authored contribution.
 - a. As noted above, Dr Jackson justified publication of an anonymously authored piece because the author was fearful of retaliation. Regarding this situation, COPE acknowledges that there are no clear guidelines as a journal publishing standard regarding publishing anonymously. However, COPE observes that publishing anonymously is typically not permitted by publishers because of concerns about author transparency and because publishers believe that they should publish in the highest ethical regard. This is also the panel members' experience-- publishers do not favor publishing anonymously because of concerns about author transparency. COPE acknowledges that in rare cases papers can be published anonymously where an author is at risk of physical danger or is in fear for his/her life if his/her name were to be published or associated with specific criticism. COPE, however, acknowledges that a decision to publish anonymously solely because of possible damage to the author's career is ultimately up to the editor, but cautions: "Is the editor confident that he/she is knowledgeable in this specific discipline that he can make such an editorial judgment?" (https://publicationethics.org/case/anonymity-versus-authortransparency).
 - b. In the view of the panel the reasoning for this decision could have been communicated to readers of JSS via an editorial note that explained the decision to publish a contribution anonymously (without details that would compromise

¹³ The members of the panel are not aware of this criterion being used in determining whether submissions should be published in a journal, particularly one that represents itself as peer reviewed, unless Volume 12 contained a disclaimer stating that this volume was not peer reviewed (which it did not).

the identity of the author). No such explanatory note was provided in Volume 12.

- 4) Absence of invitation for Dr. Ewell to respond to the contributions to the "commentary" section.
 - a. Although generally it is a practice among the academic journals with which the panel is familiar, that when there are specific sections of a journal that are devoted to discussing a particular author's works, the author whose work is being discussed/critiqued is generally invited to provide a rejoinder. This does not necessarily have to be in the issue in which the critique appears (although that is a good editorial practice), the critiqued author should at least be afforded the opportunity in the issue immediately following and should be informed of that opportunity.
 - b. However, there is no indication that the journal editorial team intended on inviting Dr. Ewell to provide such a rejoinder in the initial planning for the "commentary" section of Volume 12. This was only discussed after the volume was released in the Summer of 2020.

In sum, based on the above, we do not find that the standards of best practice in scholarly publication were observed in the production of Volume 12 of the JSS.

In addition to our findings above, the panel also notes that there appears to be no oversight mechanisms concerning the operations of JSS. The members of the JSS editorial board we interviewed reported that they have received no updates nor reports on the operations of the journal. These reports typically include the number of manuscripts received, the number processed, the average time for completion of reviews (including invitations to revise and resubmit pieces), the number of manuscripts accepted, average time for processing of accepted manuscripts and demographic characteristics of authors, as well as other information as required by the publisher or supervising professional society (or the university in this case). This is what is contained in a typical report, but such reports do not appear to exist. It is a common practice for many journals to provide such periodic reports.

Recommendations

The panel was also asked to make recommendations, where warranted.¹⁴ Several individuals we interviewed stated that the JSS plays an important role in the field of Music Theory and is one of the only outlets for the publication of works employing Schenkerian analysis. The panel thus recommends continuation of the journal.

However, we recommend that fundamental structural changes be made to the journal

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¹⁴ The panel is aware there have been calls for the dissolution of JSS.

- 1. The journal implement the necessary reforms before another volume is published. These include:
 - a. Changing the editorial structure
 - b. Making clear and transparent all editorial and review processes
 - c. Defining clearly the relationships between the editors of the journal and the editorial board, MHTE, and the UNT Press.
- 2. We do not believe that the current editorial management structure is viable or sufficient for a healthy academic journal. There should be an editor who is (or who are) a full-time faculty member, preferably a tenured faculty member. It is possible that a graduate student could act as "associate editor" or "editorial assistant", thus continuing the functions of the previous "editor" position at JSS (to provide the student with professional experiences), but decisions regarding manuscripts should only be made by the faculty editor.

We recommend that this editor be provided with a term in office of three years, with the possibility of renewal. This will help institutionalize editorial accountability.

It may be worth considering selecting an editor (or perhaps co-editors) who is/are not a faculty member(s) in MHTE at UNT. We recommend that consideration be given for the possibility of an editor recruited from outside of MHTE and/or UNT. These measures will help reassure public audiences of UNT's commitment to the reform of the journal.

- 3. All procedures regarding peer review processes, and special sections, should be written down and made publicly available. Further procedures to avoid potential conflicts of interest should be clearly laid out (including precautions regarding editor self-publication).
- 4. The editorial board should have oversight over the journal, and regular annual reports on the activities of the journal should be provided to the editorial board and the UNT Press. In addition, the term of office for editor should be fixed, after which time the UNT Press should review what has been accomplished during the term. Further, if a student editorial assistant is to be appointed at UNT, there should be frequent consultations regarding the graduate assistantship provided to the journal by MHTE, and related financial issues with the Division Head of MHTE.

References

Committee on Publications Ethics (COPE) 2019. GUIDELINES: A Short guide to ethical editing for new editors. At

https://publicationethics.org/files/COPE_G_A4_SG_Ethical_Editing_May19_SCREEN_AW-website.pdf, accessed October 1, 2020.

Exhibits

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Ad Hoc Panel Communication

Cowley, Jennifer < Jennifer.Cowley@unt.edu>

Thu 8/6/2020 4:55 PM

To: Wallach, Jennifer <Jennifer.Wallach@unt.edu>; Ishiyama, John <John.Ishiyama@unt.edu>; Du, Jincheng <Jincheng.Du@unt.edu>; Lemberger-Truelove, Matthew <Matthew.Lemberger-truelove@unt.edu>; Dubrow, Jehanne <Jehanne.Dubrow@unt.edu>

Dear Panel Members,

First a thank you for agreeing to serve on the Ad Hoc Panel that will be convening next week. I will be sharing your charge when we meet on the 12th.

I am sharing with you the following statement that UNT has issued regarding the formation of this panel.

The University of North Texas is committed to academic freedom and the responsibility that goes along with this freedom. This dedication is consistent with, and not in opposition to, our commitment to diversity and inclusion and to the highest standards of scholarship and professional ethics.

The university has appointed a five-member multidisciplinary panel of University of North Texas faculty experienced in the editing and production of scholarly journals. The panel members, who are outside the College of Music, will examine objectively the processes followed in the conception and production of volume 12 of the Journal of Schenkerian Studies. The panel will seek to understand whether the standards of best practice in scholarly publication were observed, and will recommend strategies to improve editorial processes where warranted. Upon completion of its investigation, the panel will issue a report to UNT Provost Jennifer Cowley. The report will be made public.

The Journal of Schenkerian Studies has made many contributions to the understanding of music theory. We will continue to offer music theorists the opportunity to share and defend diverse viewpoints under the most rigorous academic standards and ethics.

I wanted to alert you that the publication of this journal volume has generated significant media interest. While you have not specifically been named, should you be contacted by a member of the media, you can refer any inquiry to

Jim.Berscheidt@unt.edu in University

Communications.

Sincerely, Jennifer Cowley, PhD Provost and Vice President for Academic Affairs University of North Texas

Jennifer.cowley@unt.edu

940-565-2550



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The Executive Board of the Society for Music Theory condemns the anti-Black statements and personal ad hominem attacks on Philip Ewell perpetuated in several essays included in the "Symposium on Philip Ewell's 2019 SMT Plenary Paper" published by the *Journal of Schenkerian Studies*.





The Executive Board of the Society for Music Theory condemns the anti-Black statements and personal ad hominem attacks on Philip Ewell perpetuated in several essays included in the "Symposium on Philip Ewell's 2019 SMT Plenary Paper" published by the *Journal of Schenkerian Studies*.

The conception and execution of this symposium failed to meet the ethical, professional, and scholarly standards of our discipline. Some contributions violate our Society's policies on harassment and ethics.

As reported by participants, the journal's advisory board did not subject submissions to the normal processes of peer review, published an anonymously authored contribution, and did not invite Ewell to respond in a symposium of essays that discussed his own work. Such behaviors are silencing, designed to exclude and to replicate a culture of whiteness. These are examples of professional misconduct, which in this case enables overtly racist behavior. We humbly acknowledge that we have much work to do to dismantle the whiteness and systemic racism that deeply shape our discipline. The Executive Board is committed to making material interventions to foster anti-racism and support BIPOC scholars in our field, and is meeting without delay to determine further actions.

- · Patricia Hall, President
- · Robert Hatten, Past-President
- · Gretchen Horlacher, Vice President
- Philip Stoecker, Secretary
- Jocelyn Neal, Treasurer
- Inessa Bazayev
- Anna Gawboy

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APPX.031

EXHIBIT 3

I am sharing this statement on behalf of a cross-section of graduate students in the Division of Music History, Theory, and Ethnomusicology (MHTE) at the University of North Texas, the department which is responsible for publishing the Journal of Schenkerian Studies (JSS).

We are appalled by the journal's platforming of racist sentiments in response to Dr. Philip Ewell's plenary address at the Society of Music Theory annual meeting in 2019. Furthermore, we condemn the egregious statements written by UNT faculty members within this publication. We stand in solidarity with Dr. Philip Ewell and his goals to address systemic racism in and beyond the field of music theory.

As graduate students at UNT, we are compelled to provide further context and to demand action to effect meaningful change. We would like to make it clear that the JSS is not a graduate student journal; since 2010 (Vol. 4), it has been run primarily by Drs. Timothy Jackson and Stephen Slottow. Many of us recently discovered that the journal is presented as graduate-student run in some contexts; in fact, there is little student involvement beyond copy-editing, and students have absolutely no say in the content of the JSS. In fact, outside of the advisory board (and in particular Dr. Jackson), we have no clear understanding of who oversaw the publication of the responses to the plenary session. As we join the search for answers to these issues, we will be working both publicly and privately to change every part of the MHTE Division and College of Music (CoM) at UNT that allowed faculty to platform racism in our name.

To this end, we as UNT graduate students demand the Journal of Schenkerian Studies should immediately take the following steps, and we call on the UNT College of Music and university at large to ensure these steps are taken.

- 1. **Publicly condemn the issue and release it freely online to the public.** Given the horrendous lack of peer review, publication of an anonymous response, and clear lack of academic rigor, this issue of the JSS should release an apology for its content and promote transparency by granting the public access to it. We believe that all contributors should be held fully accountable for their comments, which must not be hidden for the sake of the self-preservation of any involved parties. Furthermore, we must learn from these mistakes rather than attempt to erase them. By making this volume accessible to the public with a disclaimer from the CoM, we hope to enable all scholars to address this problematic "discourse."
- 2. **Provide a full public account of the editorial and publication process, and its failures**. Throughout the publication of this issue, significant irregularities occurred in the acceptance and solicitation processes, whether individuals with the title of editor were permitted to edit content, and how the contents of Issue 12 were approved by any responsible oversight process. JSS must make a public account of the process so individuals who intentionally subverted academic discourse can be held accountable by their respective institutions.

We also call on the University of North Texas and the UNT College of Music to take the following actions.

1. **Dissolve the JSS.** The JSS has demonstrated that it does not meet the standards of a peer-reviewed publication. The publication of this issue demonstrates that the JSS, through its subversion of academic processes, is not in fact peer reviewed and lacks rigor. The basis of academic discourse is trust and authenticity, and the JSS has violated that trust. Without accountability and responsible scholarship, there is no reason for it to exist.

- 2. Critically examine the culture in UNT, the CoM, and the MHTE Division, and act to change our culture. UNT has gained a reputation as an institution with a toxic culture when it comes to issues of race, gender, and other aspects of diversity. Although we would like to imagine that these problems are behind us, the JSS has proven that our department's culture remains toxic, and it needs to change. While we as graduate students are working to change the culture, the university must be a part of the solution. If institutional inertia impedes this change, UNT and the College of Music are a part of the problem, not the solution.
- 3. Hold accountable every person responsible for the direction of the publication. This will involve recognizing both whistleblowers and those who failed to heed them in this process. This should also extend to investigating past bigoted behaviors by faculty and, by taking this into account, the discipline and potential removal of faculty who used the JSS platform to promote racism. Specifically, the actions of Dr. Jackson—both past and present—are particularly racist and unacceptable.

We sincerely apologize to Dr. Philip Ewell for these racist attacks on his scholarship and character. We firmly support Dr. Ewell, and his call to critically examine the racial frameworks in which Schenkerian analysis and other theories were developed. We gratefully acknowledge the push for inclusion and diversity in academia, and his continued work for diversity and anti-racism in the field of music theory, which he advocated for in his 2019 SMT plenary address. In the weeks, months, and years ahead, we will strive to change the toxic culture at UNT. We recognize that this will be difficult work, and we are prepared to fight for inclusivity now and in the future.

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News from SEM: General News



EXHIBIT 4

Statement of UNT Faculty on Journal of Schenkerian Studies

Friday, July 31, 2020 (0 Comments) Posted by: Stephen Stuempfle

Share |

We, the undersigned faculty members of the University of North Texas Division of Music History, Theory, and Ethnomusicology, stand in solidarity with our graduate students in their letter of condemnation of the *Journal of Schenkerian Studies*. We wish to stress that we are speaking for ourselves individually and not on behalf of the university. The forthcoming issue— a set of responses to Dr. Philip Ewell's plenary lecture at the 2019 Society for Music Theory annual meeting (https://vimeo.com/372726003)—is replete with racial stereotyping and tropes, and includes personal attacks directed at Dr. Ewell. To be clear, not all responses contain such egregious material; some were thoughtful, and meaningfully addressed and amplified Dr. Ewell's remarks about systemic racism in the discipline. But the epistemic center of the journal issue lies in a racist discourse that has no place in any publication, especially an academic journal. The fact that he was not afforded the opportunity to respond in print is unacceptable, as is the lack of a clearly defined peer-review process.

We endorse the call for action outlined in our students' letter (https://drive.google.com/file/d/1PekRT8tr5RXWRTW6Bqdaq57svqBRRcQK/view), which asks that the College of Music "publicly condemn the issue and release it freely online to the public" and "provide a full public account of the editorial and publication process, and its failures." Responsible parties must be held appropriately accountable.

The treatment of Prof. Ewell's work provides an example of the broader system of oppression built into the academic and legal institutions in which our disciplines exist. As faculty at the College of Music we must all take responsibility for not only publicly opposing racism in any form, but to address and eliminate systematic racism within our specific disciplines.

Dr. Ellen Bakulina, Assistant Professor, Music Theory

Andrew Chung, Ph.D., Assistant Professor, Music Theory

Dr. Diego Cubero, Assistant Professor, Music Theory

Steven Friedson, University Distinguished Research Professor, Ethnomusicology/Ethnomusicology Area Coordinator

Rebecca Dowd Geoffroy-Schwinden, Ph.D., Assistant Professor, Music History

Benjamin Graf, Ph.D., Senior Lecturer, Music Theory

Dr. Frank Heidlberger, Professor, Music Theory/Music Theory Area Coordinator

Bernardo Illari, Associate Professor, Music History

Dr. Justin Lavacek, Assistant Professor, Music Theory

Dr. Peter Mondelli, Associate Professor, Music History

Dr. Margaret Notley, Professor of Music/Coordinator of Music History Area

Dr. April L. Prince, Principal Lecturer, Music History

Cathy Ragland, Ph.D., Associate Professor, Ethnomusicology

Dr. Gillian Robertson, Senior Lecturer, Music Theory

Dr. Hendrik Schulze, Associate Professor, Music History

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Vivek Virani, Ph.D. Assistant Professor, Ethnomusicology and Music Theory

Dr. Brian F. Wright Assistant Professor, Music History

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APPX.035

EXHIBIT 5

Journal of Schenkerian Studies

VOLUME 12 2019

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EXHIBIT 6

Journal of Schenkerian Studies vol. 12 (2019) Call for Papers

The SMT plenary presentation given by Philip Ewell, "Music Theory's White Racial Frame," has inspired a good deal of debate within the theory community, especially regarding the possible relationship between Schenkerian methodology and the white racial frame¹ (as suggested in the following quote from Ewell):

"The best example through which to examine our white frame is through Heinrich Schenker, a fervent racist, whose racism undoubtedly influenced his music theory, yet it gets whitewashed for general consumption......In his voluminous writings, Schenker often mentions white and black as modifiers for human races.....As with the inequality of races, Schenker believed in the inequality of tones. Here we begin to see how Schenker's racism pervaded his music theories. In short, neither racial classes, nor pitch classes, were equal in Schenker's theories. He uses the same language to express these beliefs.....his sentiment is clear: blacks must be controlled by whites. Similarly, Schenker believed notes from the fundamental structure must control other notes."

As a journal dedicated to Schenkerian studies, we find it important to foster discussion on these issues. As part of volume 12, we invite interested parties to submit essay responses to Ewell's paper. The *Journal of Schenkerian Studies* takes no official stance on the issues addressed by Ewell, and we hope to publish a variety of thoughts and perspectives. Submissions must adhere to the following guidelines:

- 1. Essays should be 1,000 to 3,000 words in length.
- 2. In order to leave sufficient time for editorial work, submissions must observe a strict deadline of January 20, 2020.

Any questions or concerns regarding submissions may be directed at the editors (Schenker@unt.edu).

Please refer to Ewell's abstract, as well as links to the presentation slides and video recording (listed below):

Music Theory's White Racial Frame

Philip Ewell (Hunter College and The Graduate Center, CUNY)

For over twenty years music theory has tried to diversify with respect to race, yet the field today remains remarkably white. SMT's most recent report on demographics shows that 90.4 percent of full-time employees in music theory are white, while 93.9 percent of associate/full professors are. Aside from this literal whiteness, there exists a figurative and even more deep-seated whiteness in music theory. This is the whiteness—which manifests itself in the composers we choose to represent our field inside and outside of the classroom, and in the theorists that we elevate to the top of our discipline—that one must practice, regardless of one's own personal racial identity, in order to call oneself a music theorist. Thus, for example, I am a black person,

¹ Coined by sociologist Joe Feagin in 2006, the term "white racial frame" refers to the "broad worldview [that is] essential to the routine legitimation, scripting, and maintenance of systemic racism in the United States."

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but I am also a practitioner of "white music theory." In this presentation, a critical-race examination of the field of music theory, I try to come to terms with music theory's whiteness, both literal and figurative. By drawing on the writings of sociologists Joe Feagin and Eduardo Bonilla-Silva, among others, I posit that there exists a "white racial frame" (Feagin) in music theory that is structural and institutionalized. Further, I highlight certain racialized structures which "exist because they benefit members of the dominant white race" (Bonilla-Silva). Ultimately, I argue that only through a deframing and reframing of this white racial frame will we begin to see positive racial changes in music theory.

PowerPoint slides: http://philipewell.com/wp-content/uploads/2019/11/SMT-Plenary-Slides.pdf

Video recording: https://vimeo.com/372726003

Filed 01/17/25 Case 4:21-cv-00033-ALM Document 92-2 Page 42 of 87 PageID # 5268 Diego Enrique Cubero Hernandez 09/26/2024 09/26/2024 Diego Enrique Cubero Hernandez 3 UNITED STATES DISTRICT COURT FOR THE EASTERN DISTRICT OF TEXAS SHERMAN DIVISION INDEX 2 PAGE TIMOTHY JACKSON. 3 Plaintiff, CASE NO. 4:21-CV-00033-ALM 5 5 DIEGO CUBERO LAURA WRIGHT, ET AL., 6 Examination by Mr. Allen..... Defendants. Changes and Signature..... Reporter's Certificate..... ORAL AND VIDEOTAPED VIDEOCONFERENCE DEPOSITION OF 10 10 Further Certification..... 11 DIEGO CUBERO 11 12 SEPTEMBER 26, 2024 12 13 13 14 14 15 15 16 ORAL AND VIDEOTAPED VIDEOCONFERENCE 16 17 DEPOSITION of DIEGO CUBERO, produced at the instance of 17 18 the Plaintiff, and duly sworn, was taken in the 18 19 above-styled and numbered cause on the 26th day of 19 September, 2024, from $3:14\ p.m.$ to $5:52\ p.m.$, before 20 Carla A. Sims, AAS, CSR, RPR, in and for the State of 21 REPORTER'S NOTE 22 Texas, reported by method of machine shorthand, via Zoom 22 Please note that due to the quality 23 of the transmission data for a Zoom videoconference, 23 videoconference, pursuant to the Federal Texas Rules of 24 cross-talk causes audio distortion in the testimony when 24 Civil Procedure and the provisions stated on the record 25 or attached hereto. 25 preparing a videoconference transcript. Diego Enrique Cubero Hernandez 09/26/2024 4 Diego Enrique Cubero Hernandez 09/26/2024 1 EXHIBITS 2 NO. **DESCRIPTION PAGE** APPEARANCES 3 1 Deposition Notice...... 15 ALL PARTIES AND WITNESS APPEARED VIA ZOOM VIDEOCONFERENCE 4 2 JSS Editorial Board Contact Information. 3 UNT 000109 4 COUNSEL FOR THE PLAINTIFF: 5 Mr. Michael Thad Allen ALLEN LAW, LLC P.O. Box 404 Quaker Hill, Connecticut 06375 860/772-4738 (tel) m.allen@allen-lawfirm.com 5 3 Ad Hoc Review Panel Report...... 42 JACKSON000208 to JACKSON000233 6 7 4 Ad Hoc Panel Report Student Statement... 44 8 COUNSEL FOR THE DEFENDANTS and DIEGO CUBERO: 5 8 Email String...... 68 Ms. Mary Quimby TEXAS ASSISTANT ATTORNEY GENERAL P.O. Box 12548 Capitol Station Austin, Texas 78711 mary.quimby@oag.texas.gov UNT_000458 to UNT_000463 10 9 11 6 Email String...... 87 12 UNT_000452 10 COUNSEL FOR THE UNIVERSITY OF NORTH TEXAS: 13 Mr. Renaldo L. Stowers
DEPUTY GENERAL COUNSEL, UNIVERSITY OF NORTH TEXAS
115 Union Circle No. 310907
Denton, Texas 76203
940/565-2717 (tel)
renaldo.stowers@untsystem.edu 7 Email String..... 11 14 UNT_000304 to UNT_000309 15 12 16 13 17 ALSO PRESENT: 18 14 VIDEOGRAPHER: 19 15 Mr. Jason Warner Legal Video Group lvg.dallas@gmail.com 214-598-5229 20 16 21 17 22 18 23 19 24 20 25 21 22 23 24 25

Has Timothy Jackson ever expressed anything 24

25 racist towards you for your national origins?

> Diego Enrique Cubero Hernandez 09/26/2024

Not that comes to mind.

And he was on faculty at The time you were

3 hired, correct?

1

2

That's correct. 4

5 Was he part of The hiring process?

I believe he was in The search committee, but I 6

7 don't recall.

8 Q. Did you have any indication that you were

discriminated against by Timothy Jackson individually? 9

10 Nο

Have you ever witnessed Timothy Jackson 11 Q.

discriminate against a black American? 12

MS. QUIMBY: Objection, form. 13

I believe that The -- some of The statements 14

might have -- in his journal, in The journal, 12 Volume, 15

16 are construed as -- can be construed as racist.

(By Mr. Allen) Okay. But I'm asking you a

little bit different question. Have you ever witnessed 18

Timothy Jackson discriminate against a black American 19

20 like in hiring, anything of that nature?

21 MS. QUIMBY: Objection, form.

22 A. Since you gave The example, I would say

23 I don't --

17

24 MR. ALLEN: He's frozen.

25 I don't recall he discriminating against a And I promised you we would come back to talk

about it, so I'm now putting Exhibit 3 back up and asking

Diego Enrique Cubero Hernandez

09/26/2024

52

you to show me why you believe you did not endorse The 1

2 student letter in that regard.

3 A. In this document The way I currently understand

it is that it's endorsing a call to action meaning that

The College of Music probably condemn that it shouldn't

release freely online to the public and to provide a

public account of the editorial publication process and

8 its failures

q So I see that as what this -- currently that's

10 how I see it as this statement is endorsing those two

11

Q. Okay. And nothing more? That's your -- is 12

that your testimony? That you weren't endorsing anything 13

more than publically condemning The issue and asking that 14

it be released freely online to The public, and you 15

16 weren't endorsing anything other than what's in

17 quotations?

18 That is how I read The statement currently. I

19 cannot go back to my mindset.

20 Sure. Can you point to any language in The

21 sentence here that was published that says you only

22 endorse those quoted sections?

Can you repeat your question, please?

24 Sure. Point to language in this statement of 25

UNT faculty on Journal of Schenkerian Studies that

- 15
- 16 drafting and sent out in The last months of 2019,
- 17 November December.
- 18 (Court Reporter requested clarification)
- THE WITNESS: I was an editorial board 19
- 20 member. Apologies.
- COURT REPORTER: I'm so sorry. Thank you. 21
- THE WITNESS: Soft spoken by nature. 22
- 23 (By Mr. Allen) And you participated in The
- formulation of The call for papers, correct? 24
- I was asked for feedback. 25

- 16 distributed
- 17 Q. (By Mr. Allen) Okay. All right. That's fine.
- 18 Did you ever learn after July 25th, 2020, that Philip
- Ewell had announced that he would refuse to read Volume 19
- 20 12 of The Journal of Schenkerian Studies?
 - A. I don't recall learning that.
- Q. Okay. You don't recall any controversy over 22
 - Philip Ewell refusing to read The Journal of Schenkerian
- 24 Studies, do you?
- 25

Because at 5:00 o'clock, I start --

25

this document, is it?

18 published?

20

21

23

24

25

MS. QUIMBY: Objection, form. 19

Sorry. It's late and probably even later for y'all since you have been doing this for a while. Can you repeat your question? 22

(By Mr. Allen) Sure. Do you know of documents in which The editorial staff discussed inviting Philip Ewell to respond once Volume 12 had been published? In

18 No. That doesn't mean I didn't receive it

because I am there. 19

> Q. All right. Look, I understand. I'm just --I'm just trying to ask questions for The purpose of discovery here. And this is your email right here, correct?

24 Α. Yes.

25 Q. And is that The email you used to

20

21

22

	SE 4:21-CV-00033-ALWI DOCUMENT 92-2 Diego Enrique Cubero Hernandez 09/26/2024 527	2	Diego Enrique Cubero Hernandez 89/26/2024	
	93	3	95	
1	for what must have been a long afternoon. I appreciate	1		
2	your testimony today.	2		
		3		
3	THE WITNESS: Thank you. I hope you have	4	I, DIEGO CUBERO, have read the foregoing deposition	
4	a good day.	5	and hereby affix my signature that same is true and	
5	VIDEOGRAPHER: Off The record, 5:52.	6	·	
6	(Time 5:52 p.m.)	7		
7	(End of deposition)			
8		8		
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24			THE STATE OF	
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	Diego Enrique Cubero Hernandez 09/26/2024		Diego Enrique Cubero Hernandez 09/26/2024	
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1	CHANGES AND SIGNATURE		FOR THE EASTERN DISTRICT OF	
1 2		2	FOR THE EASTERN DISTRICT OF	
	CHANGES AND SIGNATURE		FOR THE EASTERN DISTRICT OF TEXASSHERMAN DIVISION	
2	CHANGES AND SIGNATURE WITNESS NAME: DIEGO CUBERO	2	FOR THE EASTERN DISTRICT OF TEXASSHERMAN DIVISION	
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IN THE UNITED STATES DISTRICT COURT FOR THE EASTERN DISTRICT OF TEXAS SHERMAN DIVISION 3 TIMOTHY JACKSON 4 Plaintiff, 5 v. CASE NO. 4:21-cv-00033-ALM 6 LAURA WRIGHT, et al. Defendants. 10 11 ORAL DEPOSITION OF 12 RACHEL GAIN MAY 19, 2021 13 14 15 16 17 ORAL DEPOSITION OF RACHEL GAIN, produced as a 18 witness at the instance of the Plaintiff, and duly sworn, was taken in the above-styled and numbered cause 19 on May 19, 2021, from 1:06 p.m. to 2:49 p.m., before Nita G. Cullen, CSR in and for the State of Texas, 22 reported by machine shorthand, at the Law Offices of 23 Cutler Smith, 12750 Merit Drive, Suite 1450, in the City

24 of Dallas, County of Dallas, State of Texas, pursuant to

25 the Federal Rules of Civil Procedure.

Rachel Gain

5/19/21

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Rachel Gain

5/19/21

3

Rachel Gain 5/19/21

APPEARANCES

FOR THE PLAINTIFF: MR. MICHAEL THAD ALLEN SAMANTHA HARRIS MS. SAMANIHA HARKIS ALLEN LAW, LLC P.O. Box 404 Quaker Hill, Connecticut 06375 860. 772. 4738 860. 469. 2783 Fax m.allen@allen-lawfirm.com 9 FOR THE DEFENDANTS: MR. MATT BOHUSLAV
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P.O. Box 12548, Capitol Station
Austin, Texas 78711
matthew.bohuslav@oag.texas.gov 10 11 12 13 14 AND MR. RENALDO STOWERS SENIOR ASSOCIATE GENERAL COUNSEL UNIVERSITY OF NORTH TEXAS SYSTEM OFFICE OF GENERAL COUNSEL 15 16 17 renaldo.stowers@untsystem.edu 18

19

20

21

22 23

24

25

ALSO PRESENT:

MR. TIMOTHY JACKSON

PROCEEDINGS 1 2 RACHEL GAIN, having been first duly sworn, testified as follows: 3 **EXAMINATION** 4 BY MS. HARRIS: 5

Rachel Gain

5/19/21

4

6 Q. Okay. Hi, my name is Samantha Harris. I'm one of the attorneys for Dr. Jackson, along with my partner. 7 8 And have you ever been deposed before?

9

A. No.

10 Okay. So, it's just going to be a conversation, but it is part of the Court record, that's 12 why she's taking these -- you know, these notes. And so, this is testimony that will be part of the case. If 13 at any time anything I'm asking you isn't clear or you 14 need me to clarify or repeat the question, just ask. 15 16 Your attorney may object from time to time.

17 MS. HARRIS: Are we going to stipulate, 18 you know, the same things that we have in the previous depositions, that objections except as to form 19 20 objections will be reserved for the time of trial. MR. BOHUSLAV: Yes. 21 22

Q. (By Ms. Harris) Okay. So, he will object, and that objection will go on the record, but it doesn't change your obligation to answer the question. So, when he objects, it doesn't mean, you know, that you're not

23

Q. I'd like you to speculate.

MR. BOHUSLAV: Objection, calls for

24

25

18 have one African-American student, and we have Yiyi. What other incidents? You said a large number. 19 20 I said "larger". 21 Okay. Q. 22 Not "large". 23 Okay. So, are those all of the incidents that 24 you are aware of? 25 Those are the ones that come to mind, and as is A. 214-668-5578

cument 92 47 collaboration". this. 1 2 2 A. No. Yeah? Do you have a question? 3 Ο. Okay. 3 Well, I asked you, are you aware that it says Well, I've read the journal and seen that they that Dr. Ewell was not notified? 5 5 I'm aware now that I have it in front of me, cite each other 6 Right. But at the time that you put your name 6 and I've read it. 7 to this, you did not have any evidence of illicit 7 Were you aware at the time that you signed your Ο. collaboration, other than what you had been told by your 8 name to it that it said that? 8 fellow graduate students? I mean, I've read the document, but my eyes may 9 10 Everything I have is secondhand, so that is have skimmed over a couple of words. I don't recall 10 probably an accurate characterization. reading that before now. 11 11 12 Okay. Ewell -- were you aware that Ewell is 12 Q. Okay. Were you aware that Dr. Ewell was sent 13 referred to in some of the pro-Ewell papers, that he's 13 the call for papers? cited? Well, everybody was sent the call for papers on 14 14 15 A. That's different. 15 the list serve. How is that different? Ο. 16 Were you aware that Dr. Ewell was on the list 16 Q. Because you're supposed to cite things that 17 17 serve? 18 have previously been published or previous keynotes, 18 Yes, but also the list serve goes to a lot of 19 whereas this specifically refers to unpublished 19 people -- people's junk e-mail, so I didn't know whether 20 citations. 20 he received it. Q. So, when you endorsed this statement here that 21 Q. Okay. So, it's not proper to cite forthcoming 21 works? 22 Dr. Ewell was not notified, what you're saying was that 22 23 It can be proper. 23 he was notified, but it may have gone to his junk mail? What circumstances -- under what circumstances Or, like I said, I don't remember reading those 24 Q. 24 words. I think, if I were to write the statement 25 is it proper? Rachel Gain 5/19/21 Rachel Gain 5/19/21 46 48 1 For example, if Dr. Jackson had cited Ewell's 1 myself, I may not have used that wording. forthcoming article, that would have been proper. 2 Q. Okay. This also says that Dr. Jackson has a, 2 3 Q. So, it's proper to cite someone you disagree 3 quote, "history of racist, sexist and abusive behavior." with, but not someone you agree with, is that --And that is -- let me find it for you. Let me just pull 4 5 No. That's not what I'm saying. this up on my computer. Okay. So under -- on the page Okay. What are you saying here? that's labeled Kohanski 000109, under the heading 6 7 I'm saying that you can site forthcoming "Calling for Dr. Jackson's Dismissal," it says he should 8 things, but the way it has been characterized to me by 8 be removed from the faculty, and it says that he has a 9 other people who have spoken to me about this issue, is history of racist, sexist and abusive behaviors in his 10 that the people writing against Dr. Ewell share their 10 many capacities. So, what are his many capacities? papers with each other, but not with perhaps, I would A. I would assume, seeing as these are not my 11 11 guess, the people writing pro-Ewell responses. personal testimonies in here, I would assume that the 12 12 13 And you believe that academics sharing their 13 capacities probably refer to him as a teacher, as an papers with one another in advance of publication advisor, and as somebody in whatever capacity he may be 14 14 constitutes illicit collaboration? in at the Journal of Schenkerian Studies. That would be 15 15 16 MR. BOHUSLAV: Objection, asked and 16 my best guess. 17 answered. 17 What is an example of abusive behavior that Dr. 18 Α. Nο 18 lackson has exhibited? (By Ms. Harris) Okay. This document also says I would say telling a student to work for free. 19 19 20 that Dr. Ewell was not notified about the forthcoming 20 And this, again, is something that you heard 21 symposium. 21 secondhand? 22 Where does it say that? 22 Α. 23 "In stark contrast to this coordinated effort 23 Okay. This document also accuses Dr. Jackson by Dr. Jackson, et al, Dr. Ewell was neither notified 24 of extortion. Are you aware that extortion is a crime? 24 nor asked to respond." It's under "illicit I'm not really up to date with U.S. laws, as a 25 25 Α. Page 45 to 48 of 61 Julia Whaley & Associates 214-668-5578

Q. Okay. But you are on the record accusing Dr.

21 Jackson of extortion for reasons you don't remember at

this time.

23

24

A. Well, I told you it was Yiyi Gao. I believe that's what that refers to.

25 MS. HARRIS: Okay. I would like now to

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20

21

23

24

David Falterman.

myself in that situation.

And why? Did he explain why?

being mistreated and being victims of a bad power

dynamic that he wanted to warn me in advance to not put

Because he had seen enough evidence of women

Page 49 05 32 of 61

59 correct? 1 1 Yes. 2 MS. HARRIS: Okay. Thanks. That's all. 2 A. Yes. 3 Okay. The petitions also refer to the past 3 THE WITNESS: Okay. Thank you. bigoted behaviors of UNT faculty. MS. HARRIS: Do you have any --5 MR. BOHUSLAV: No. We'll reserve Α. Yes 6 And you've testified today that you don't have 6 questions for time of trial. 7 any firsthand knowledge of past bigoted behaviors by UNT 7 (DEPOSITION ADJOURNED AT 2:49 P.M.) faculty. 8 8 9 A. Yes. 9 Okay. And this also referred to past racist 10 10 actions of Dr. Jackson, yes? 11 11 12 Could you show me where in the document it says 12 13 that? 13 Sure. It's under -- it is the July 27th 14 14 petition that's marked Exhibit 3 at the top. Yeah. 15 15 That one. 16 16 17 17 Okav. 18 Says, "Dr. Jackson's actions, both past and 18 19 present, are racist and unacceptable." So, is it fair 19 to say that you don't have firsthand knowledge of any 20 20 past racist actions by Dr. Jackson? 21 21 22 22 Well, seeing as I've never been in the same --23 or I've never been in a conversation with him, that 23 would follow, yes. 24 24 25 Okay. And in the July 30th version of the 25 Rachel Gain 5/19/21 Rachel Gain 5/19/21 60 58 IN THE UNITED STATES DISTRICT COURT 1 statement, Dr. Jackson is accused of extortion, correct? 1 FOR THE EASTERN DISTRICT OF TEXAS Where is this? 2 SHERMAN DIVISION 3 TIMOTHY JACKSON, 3 It is on Kohanski 000109, No. 3 under "Calling) for Dr. Jackson's Dismissal. Extortion through grade 4 Plaintiff, manipulation and threats to students' careers and 5) Case No. reputations." 6 5 7 It does say that. A.) 4:21-cv-00033-ALM LAURA WRIGHT, et al, Okay. And is it fair to say that you have no 8 9 firsthand knowledge of any extortion by Dr. Jackson? Defendants.) 10 Yes. I wasn't in the country at the time. Okay. But you did sign your name to a 11 8 statement asking that Dr. Jackson be fired for all of 12 10 **DEPOSITION CERTIFICATE** 13 these reasons, yes? RACHEL GAIN 11 14 Where does it say that he should be fired? 12 MAY 19, 2021 15 "Calling for Dr. Jackson's Dismissal. Dr. 13 16 Jackson should be removed from the UNT faculty." 14 15 I, Nita G. Cullen, Certified Shorthand 17 Yes. I signed a statement saying that it was Reporter in and for the State of Texas, hereby certify 16 our opinion that he should be fired. 18 to the following: 17 Okav. 19 Q. 18 That the witness, RACHEL GAIN, was duly sworn 20 Or dismissed, in the words of the statement. by the officer and that the transcript of the oral 19 21 Okay. And other than his article in the 20 deposition is a true record of the testimony given by the 21 22 journal, which you have said you've read, would it be 22 I further certify that pursuant to FRCP Rule 23 fair to say that you called for his termination with no 30(f)(1) that the signature of the deponent: 23 firsthand knowledge of any of the behaviors specified in 24 24 ____ was requested by the deponent or a 25 this petition? party before the completion of the deposition and is to

be returned within 30 days from date of receipt of the 1 transcript. If returned, the attached Changes and 2 Signature Page contains any changes and the reasons 3 4 therefor; \underline{X} was not requested by the deponent or a 5 6 party before the completion of the deposition. I further certify that I am neither attorney or 7 counsel for, nor related to or employed by, any of the 8 parties or attorneys to the action in which this 9 deposition was taken. 10 Further, I am not a relative or employee of any 11 attorney of record in this case, nor am I financially 12 interested in the outcome of the action. 13 Subscribed and sworn to on this 15th day of 14 June, 2021. 15 16 17 NITA G. CULLEN, Texas CSR #1563 Expiration Date: 08-31-2022 18 JULIA WHALEY & ASSOCIATES 19 Firm Registration No. 436 2012 Vista Crest Drive 20 Carrollton, Texas 75007-1640 214.668.5578 21 22 23 24 25

Professor Bakulina, in an unsolicited way, had

And do you remember drafting this email,

What was the purpose of this email?

21

22

23

24

25

Professor Ishiyama?

Yes.

A.

Q.

Δ.

statement, "The fact that he was not afforded the

Right? They say, "He was not afforded the

opportunity to respond."

Yes.

Α.

opportunity to respond," right?

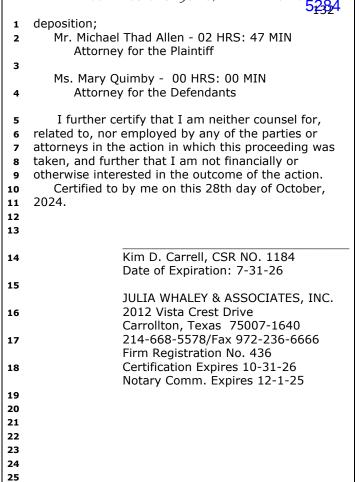
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Ca	SE 4.ZI-CV-00033-ALIVI DOCUITETIL	32-2 I IICC	 01/17/25
	John Toaru Ishiyama, Ph.D. 9/27/24	5283 128 1	130
	MD ALLEN, Okay, I'm going to pass the	2 3	
1	MR. ALLEN: Okay. I'm going to pass the	4	foregoing deposition and hereby affix my signature that
2	witness, Mary.	5	
3	MS. QUIMBY: I'll reserve my questions		
4	for trial.	7	
5	MR. ALLEN: Thank you, Professor	8	
6	Ishiyama.	9	JOHN TOARU ISHIYAMA, Ph.D.
7	(No deletions.)	1.0	THE STATE OF)
8	THE VIDEOGRAPHER: Off the record at	10	 /
9	12:35.	11	COUNTY OF)
-		12	Before me,, on this day personally appeared JOHN TOARU ISHIYAMA, Ph.D., known to
10	(Proceedings concluded at 12:35 p.m.)	13	
11			or through (description of
12		14	identity card or other document) to be the person whose name is subscribed to the foregoing instrument and
13		15	acknowledged to me that he/she executed the same for the
14		16	purpose and consideration therein expressed.
15		17	Given under my hand and seal of office on this
16			, day of,
17		18	
18		19	
		20	NOTARY PUBLIC IN AND FOR
19			THE STATE OF
20		21	
21		22	My Commission Expires:
22		23	
23		24	
24			
25		25	
	John Toaru Ishiyama, Ph.D. 9/27/24		John Toaru Ishiyama, Ph.D. 9/27/24
		129	131
1	CHANGES AND SIGNATURE	129 1	UNITED STATES DISTRICT COURT
1 2	CHANGES AND SIGNATURE WITNESS: JOHN TOARU ISHIYAMA, Ph.D.	1	UNITED STATES DISTRICT COURT FOR THE EASTERN DISTRICT OF
		2	UNITED STATES DISTRICT COURT FOR THE EASTERN DISTRICT OF SHERMAN DIVISION
2	WITNESS: JOHN TOARU ISHIYAMA, Ph.D. DATE: SEPTEMBER 27, 2024	1	UNITED STATES DISTRICT COURT FOR THE EASTERN DISTRICT OF SHERMAN DIVISION
2 3 4	WITNESS: JOHN TOARU ISHIYAMA, Ph.D.	2	UNITED STATES DISTRICT COURT FOR THE EASTERN DISTRICT OF SHERMAN DIVISION
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UNITED STATES DISTRICT COURT

EASTERN DISTRICT OF TEXAS

SHERMAN DIVISION

TIMOTHY JACKSON, §

Plaintiff,

§ Civil Action No.

VS. §

LAURA WRIGHT, et al.,

S

Defendants.

§

4:21-cv-00033-ALM

ORAL AND VIDEOTAPED DEPOSITION OF

TIMOTHY JACKSON, Ph.D.

SEPTEMBER 24, 2024

The Oral and Videotaped Deposition of TIMOTHY JACKSON, Ph.D., produced as a witness at the instance of the defendants, and duly sworn, was taken in the above-styled and numbered cause on SEPTEMBER 24, 2024, from 9:07 a.m. to 6:22 p.m., before Nicole A. Hatler, CSR No. 11275 in and for the State of Texas, reported by machine shorthand, at the University of North Texas System, 801 North Texas Blvd, Gateway Suite 308, Denton, TX 76201.

---000---

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15

192

193 1 Q. And were you teaching a two plus two? 1 to your last question? 2 2 Q. You know, for sake of time, your attorney will 3 Q. Because of the one course remission? certainly have an opportunity to ask you questions after

4 A. Yes. 5 Q. And then after the rest of your colleagues in

6 theory were reduced to a two plus two, what was your

7 teaching load then?

A. It was still two plus two.

9 Q. And that was in 2018?

10 A. I think so. Well, yeah, I know it was two plus

11 two, but I wanted to get another course remission

12 because I was still doing my work on the journal and I

felt that was right, but I didn't get that. 13

14 Q. Did you discuss that with Dr. Brand?

15 A. No.

Q. Why not? 16

A. I really can't tell you why I didn't do it, but 17

18 I didn't.

Q. So have you been teaching --19

A. It wasn't -- can I -- I'm sorry. It wasn't --20

21 in other words, it wasn't that long that I was teaching

more than I should have been. It was only like maybe 22

23 two semesters.

24 Q. Since 2018, have you been teaching a two plus

25 two course load? I'm through.

5 A. Okay. Sure.

Q. But since I'm already a little bit behind my

7 own schedule --

A. Okay. Go ahead. Go ahead.

9 Q. -- I want to make sure we'll get through it as

10 efficiently as possible.

11 A. Yeah.

12 Q. But rest assured, your attorney will have

13 whatever opportunity that he --

14 A. Well, it's not him so much as me.

Q. And -- but I wanted to just ask you a few

16 questions now about volume 12 --

17 A. Right.

18 Q. -- of JSS.

19 Whose -- whose idea -- or how did the idea

20 for the symposium originate?

21 A. So it actually came from my student, Ravi

22 Walls, actually.

23 Q. And why do you say that?

24 A. Because he suggested it --

25 Q. How so?

A. Yes. And then for those -- before the journal

2 was -- or before I was canceled, I was managing the

journal and teaching two plus two. So I was doing

both/and. 4

5 Q. And is that -- going back to roughly 2008 or '9

6 when you first received a one-course remission, is it

your recollection that since that time, you were

teaching a two plus two course load?

9 Is that your recollection?

10 A. Oh, yeah, yeah.

11 Q. Okay.

A. Not only is it my recollection. It's a fact. 12

13 Q. Okay. All right.

MR. WALTON: I think we've been going 14

almost an hour. I'm about to shift gears, so why don't 15

we just take a quick break here? 16

17 MR. ALLEN: It's exactly on the button

18 3:00.

THE VIDEOGRAPHER: We're off the record at 19

20

21 (A recess was held from 3:00 p.m. at 3:11 p.m.)

22 THE VIDEOGRAPHER: We're back on the record

23 at 3:00 p.m.

24 Q. BY MR. WALTON: Dr. Jackson --

25 A. Could I clarify my last response a little bit A. -- and I thought about it, and I thought it was

a good idea.

3 Because we were communicating back and

forth about the symposium -- I mean about the plenary

speeches. He asked me if I had heard about the speech

by Ewell because he knew that I was a Schenkerian

scholar, and he asked me if -- what I thought of it.

And I said I had to look at it, watch the speech, and

then I would respond.

10 And so, I did, and then he and I, kind of,

11 tossed some ideas and he -- about symposium talking

about getting different people to respond. First, the

13 idea was different than what happened.

14 Q. The -- the initial idea where he first proposed

15 it, was that an oral conversation or in writing?

16 A. Both written and oral.

17 Q. Do you recall which came first?

18 A. No.

Q. Okay. 19

20 A. But probably written.

21 Q. Okay. And how did that idea -- well, how did

it start, and how did it end up with what the symposium

23 was?

24 A. Well, the initial concept was for me to contact

Schenkerian scholars and get their input, but the more I

1 thought about it, the more I thought that in the -- in

- 2 the speaking of -- in the spirit of dialectics, which I
- 3 consider essential for all serious scholarship, there
- 4 should be pros and cons.
- 5 So I thought that it wasn't be great if I
- 6 just contacted the cons, but that we would send out a
- 7 general call for contributions to the symposium, and
- 8 that would enable people who were in favor of Ewell's
- 9 talk and his points and his point of view, and that we
- 10 would publish both without censorship and let the public
- 11 decide. Because I'm of the view more speech is better
- 12 is the way to get to the truth, not censoring people.
- 13 Q. And how was it determined whether those
- 14 responses would or would not be peer reviewed?
- 15 A. Well, we -- we weren't -- you see, we were
- 16 asking for people to respond in a sense of not writing
- 17 an article about it -- not writing a peer reviewed
- 18 article about it, but just expressing their opinions
- 19 about Ewell's thesis because it was really quite
- 20 controversial, and that was the spirit of the call.
- 21 Q. I see.
- 22 Do you recall having any conversations with
- 23 Mr. Walls about whether these responses would be peer
- 24 reviewed?
- 25 A. No.

- 1 but also -- yeah, as neutral and properly focused as
 - 2 possible. So that it would attract pros and cons.
 - 3 Q. Do you recall any specific edits or suggestions
 - 4 that you suggested that call that were not incorporated?

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- 5 A. I think there was a few, but you know what? I
- 6 wasn't going to quibble about it.
- 7 Q. Do you recall what they were?
- 8 A. No.
- 9 Q. Okay.
- 10 A. We just -- I just -- I remember saying to
- 11 myself, well, maybe this isn't quite what we should do,
- 12 but let's let it go. Let -- let -- let the chips fall
- 13 where they may.
- 14 Q. Had you discussed the idea of publishing
- 15 responses to Ewell's address with any Schenkerian
- 16 scholars before that call went out?
- 17 A. Yes.
- 18 Q. Who had you discussed it with?
- 19 A. Oh, a whole bunch of people. A whole group of
- 20 scholars.
- 21 Q. And was that through one-on-one contact with
- 22 them --

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- 23 A. Yes.
- 24 Q. -- or was it through a group communication?
- 25 A. No, it was through one-on-one.

Q. Okay. Do you recall Dr. Slottow ever

- 2 mentioning the idea of peer reviewing them?
- 3 A. No.
- 4 Q. Who -- when you refer to the call, are you
- 5 referring to the written call for submissions that was
- 6 sent out through the SMT list serve?
- 7 A. Yes.
- 8 Q. Who drafted that call?
- 9 A. Not me. It was drafted I think by other
- 10 people. Probably by Ben Graf and Levy Walls, and
- 11 maybe -- we had input in it. We -- we they began with
- 12 the draft, and then Dr. Slottow and I gave our two cents
- 13 worth. I don't believe they took all of our
- 14 suggestions, but they basically sent it out having
- 15 absorbed some thoughts from us and from other faculty,
- 16 actually.
- 17 I -- I wanted to -- because I knew this
- 18 would be controversial, although I never had any inkling
- 19 of how controversy it would be, I wanted to consult all
- 20 the faculty in the music theory area who had any
- 21 experience with Schenkerian analysis. And so I asked
- 22 Diego Cubero and Olga, who calls herself Ellen,
- 23 Velikanova for their input. And also we asked some
- 24 other people in the faculty for their input into the
- call and how to frame it so that it would be as neutral

- 1 Q. And did --
 - 2 A. And, you see, what happened was that -- that
 - 3 was the initial plan, was to, in fact, ask the
 - 4 Schenkerian scholars what they thought. And then I --
 - 5 in the course of doing that, I recognized that that was
 - 6 unfair. I thought that was unfair. So that's when I
 - 7 felt that we should really branch out and -- and issue
 - 8 the call through the SMT for all sundry to respond.
 - 9 Q. Before the SMT call went out, had you discussed
 - 10 this idea of responses with anyone who was sympathetic?
 - 11 A. I don't know who was sympathetic exactly,
 - 12 really. I didn't have any idea, and I still don't
 - 13 because not all the Schenkerians that I contacted wanted
 - 14 to submit responses. So some of them may well be
 - 15 sympathetic.
 - 16 Q. You just don't know?
 - 17 A. I can guess a few of them, but I'm not sure.
 - 18 But they declined.
 - 19 Q. So you don't know what their response would
 - 20 have been had they agreed to write one?
 - 21 A. I'm not a prophet. No.
 - 22 Q. All right.
 - A. But once we decided to go with the call, I felt
 - 24 very comfortable about the whole thing because I thought
 - 25 it was fair. In other words, I thought that once we had

Case 4:21-cv-00033-ALM Page 62 of 87 PageID #: 203 205 1 well. 1 communicate whether the submissions were or were not 2 Q. Who did you send it to? peer reviewed? 3 A. So I sent it to professor Aton Agmine, who is a A. No. That was never an issue because, as I 4 very distinguished Schenkerian at Kharline University 4 think I've said several times already, that the whole 5 [phonetic] in Israel. And I sent it to one other point of it was not to write articles that would be peer person. I'm trying to think who it was. I can't reviewed, but to solicit reactions to a very 7 remember right now. And I also got detailed feedback. controversial paper and very controversial thesis. That So from those two scholars, I did. Yeah. was the point, not to write scholarly articles that need 9 Q. Was any of that feedback what you regarded as to be peer reviewed. critical? 10 10 Q. Who decided to accept or publish the anonymous 11 A. Yes. 11 submission? 12 Q. And how did you respond to their critical 12 A. We all did. I mean, nobody objected. Q. Who received that submission? 13 13 14 A. So none of it was critical insofar as my 14 A. I did. 15 critique of Ewell, but some of it was more like, you 15 Q. Was it made by a student? 16 16 need to change this or fix that, or there were little A. It was made by somebody who just finished. mistakes and things in my -- in my paper -- in my 17 Q. Were they yet on faculty at an institution? 18 response which needed correcting. 18 A. No. And that was the reason that they wanted 19 So they, very kindly, went through to remain anonymous, was because they were very worried 20 carefully with a fine tooth comb -- tooth comb and that, if they were identified, they would never find a corrected all those things. And we did -- they did talk 21 job. 21 22 about my -- my paper, but nobody ever said anything 22 Q. Other than the call through the SMT list serve, 23 about it being racist. There was never any indication did you have any communications with Phillip Ewell that these readers thought it was racist. 24 regarding the intention to publish responses in a 25 Q. Was there ever any suggestion or request made 25 symposium? 204 206 1 to provide additional citations or sources? A. No. 1 2 A. Nope. It wasn't a scholarly paper in the same Q. Why did you not reach out to Phillip Ewell sense that a journal article would be. 3 individually or personally? 3 4 Q. Who -- who came up with the idea of calling it 4 A. I didn't feel that that was my responsibility. 5 a symposium? Q. The author of the anonymous piece, what was 6 A. I think it was a joint idea because it was that individual's reputation in the music theory based on Plato's symposium. I don't know if you're community as you understood it to exist at the time? aware of that, but there's a famous dialogue -- well, 8 A. Who, the person who want anonymity? it's more than a dialogue about Love by Plato, which is 9 Q. That's right. 10 A. Well, he had just finished his doctoral 10 called the symposium. Q. Are you familiar with how the word "symposium" 11 dissertation and was hooking for jobs, so that was 12 is used within modern academia? 12 his -- he was the most junior person who responded to 13 A. Yes. To a certain degree, but -- but -this, in other words, by a long shot. Because almost 14 Q. And what is your -all the other people were either assistant professors or A. -- I felt that the -- the title that we came up associate professors or full professors. He was the 15 15 16 was more in the spirit of Plato's symposium -only person who responded and who wanted to respond who 17 Q. I see. 17 did not have a job. A. -- where -- where you have different points of 18 Q. Are you aware of any scholarly publications 18 19

view. You see, that -- that's what the whole purpose of 19 Plato's dialogues really is, is that you have different speakers and each speaker comes to the symposium with a different idea of what love is. And so, they're all fighting among themselves and arguing among themselves. 23 24 So that was the reason for the title. 25 Q. And in your opinion, did the word "symposium"

that that individual had previously published? 20 A. I'm trying to think. Right now, I can't think 21 of any. 22 Q. That's fine. 23 A. He was fresh out of graduate school. Q. As you sit here today, is there anything about 25 the publication process for the symposium that you would

	295			297
4		1	November 16, 2024;	20.
1	, , ,	2		
	, , ,	3	, ,	
3	true and correct, except as noted above.	4	X_ was requested by the deponent or a part	
4		5	before the completion of the deposition and that the	
5		6		
6		7	within 30 days from the date of receipt of the	
	TIMOTHY JACKSON, Ph.D.	8	transcript. If returned, the attached Changes and	
7		9	Signature Page contains any changes and the reasons	
8	THE STATE OF)	10	therefore:	
9	COUNTY OF)	11	was not requested by the deponent or a	
10			part before the completion of the deposition.	
	personally appeared TIMOTHY JACKSON, Ph.D., known to me	13	I further certify I am neither counsel for,	
	(or proved to me under the oath or through		related to, nor employed by any of the parties or	
13			attorneys in the action in which this proceeding was	
			taken, and further that I am not financially or	
	other document) to be the person whose name is	18	otherwise interested in the outcome of the action. Certified to by me this 17th day of OCTOBER,	
	subscribed to the foregoing instrument and acknowledged		2024.	
16	to me that they executed the same for the purposes and	20	2024.	
17	consideration therein expressed.	21		
18	Given under my hand and seal of office this	22		
19	day of, 2024.		Nicole A. Hatler, Texas CSR 11275	
20		23	Expiration Date: 11/30/24	
21			Integrity Legal Support Solutions	
22		24	9901 Brodie Ln., #160-400	
	NOTARY PUBLIC IN AND FOR		Austin, TX 78748	
23		25	(512) 320-8609	
24	THE STATE OF		www.integritylegal.support	
25	THE OTATE OF			
23				
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	296			
1	UNITED STATES DISTRICT COURT			
3	EASTERN DISTRICT OF TEXAS SHERMAN DIVISION			
3	TIMOTHY JACKSON, §			
4	§			
	Plaintiff, §			
5	§ Civil Action No.			
	VS. §			
6	§ 4:21-cv-00033-ALM			
	LAURA WRIGHT, et al., §			
7	§			
	Defendants. §			
8	§			
9				
10	REPORTER'S CERTIFICATION			
11	ORAL AND VIDEOTAPED			
12	DEPOSITION OF TIMOTHY JACKSON, Ph.D.			
13	SEPTEMBER 24, 2024			
15	I, Nicole A. Hatler, Certified Shorthand			
16	Reporter No. 11275 in and for the State of Texas, hereby			
17	certify to the following:			
18	That the witness, TIMOTHY JACKSON, Ph.D., was			
19	duly sworn by the officer and that the transcript of the			
20	oral deposition is a true record of the testimony given			
21	by the witness;			
22	That the original deposition transcript was			
23	delivered to October 17, 2024;			
24	That the copy of this certificate was served			
25	on all parties and/or the witness shown herein on			

- Filed 01/17/25 Document 92 Page 64 of 87 PageID # 5290 Peter Michael Kohanski 5/18/21 Peter Michael Kohanski 5/18/21 IN THE UNITED STATES DISTRICT COURT FOR THE EASTERN DISTRICT OF TEXAS SHERMAN DIVISION INDEX 2 2 PAGE 3 TIMOTHY JACKSON Plaintiff, 4 Stipulations..... 4 5 v. CASE NO. 4:21-cv-00033-ALM PETER MICHAEL KOHANSKI 5 6 LAURA WRIGHT, et al. Examination by Mr. Allen..... 4 Defendants. Reporter's Certificate.....95 10 10 11 ORAL DEPOSITION OF 11 **EXHIBITS** 12 PETER MICHAEL KOHANSKI NO. DESCRIPTION 13 MAY 18, 2021 Subpoena for Peter Kohanski...... 8 E-mail to Timothy Jackson from the president of GAMuT, July 29, 2020.....18 14 14 Exhibit 2 15 15 Exhibit 3 Exhibit 3......72 16 16 17 ORAL DEPOSITION OF PETER MICHAEL KOHANSKI, produced 17 18 as a witness at the instance of the Plaintiff, and duly 18 19 sworn, was taken in the above-styled and numbered cause 19 on May 18, 2021, from 9:07 a.m. to 11:29 a.m., before 20 21 Nita G. Cullen, CSR in and for the State of Texas, 22 reported by machine shorthand, at the Law Offices of 22 23 Cutler Smith, 12750 Merit Drive, Suite 1450, in the City 23 24 of Dallas, County of Dallas, State of Texas, pursuant to 24 25 the Federal Rules of Civil Procedure. 25 Peter Michael Kohanski 5/18/21 4 Peter Michael Kohanski 5/18/21 PROCEEDINGS 1 APPEARANCES 2 PETER MICHAEL KOHANSKI, having been first duly sworn, testified as follows: 3 FOR THE PLAINTIFF: **EXAMINATION** 4 MR. MICHAEL THAD ALLEN SAMANTHA HARRIS MS. SAMANTHA HARRIS ALLEN LAW, LLC P.O. Box 404 Quaker Hill, Connecticut 06375 860. 772. 4738 860. 469.2783 Fax m.alleneallen-lawfirm.com 5 BY MR. ALLEN: 6 Q. Good morning, Mr. Kohanski. As you know, this is a deposition. I'm just going to ask you a few 7 8 questions to get started and discuss sort of some ground 9 FOR THE DEFENDANTS: rules, if you will. Could you --MR. MATT BOHUSLAV
ASSISTANT ATTORNEY GENERAL
GENERAL LITIGATION DIVISION
ATTORNEY GENERAL OF TEXAS
P.O. Box 12548, Capitol Station
Austin, Texas 78711
matthew.bohuslav@oag.texas.gov 9 10 MR. ALLEN: Did you get his full name? 10 11 12 11 COURT REPORTER: Yes. 13 12 Q. (By Mr. Allen) Okay. This is an extension of 14 AND the Court. It's a very formal conversation. There will 13 MR. RENALDO STOWERS SENIOR ASSOCIATE GENERAL COUNSEL UNIVERSITY OF NORTH TEXAS SYSTEM OFFICE OF GENERAL COUNSEL 15 be times at which counsel may object. Can I ask you if 14 16 Denton, Texas 76203 940.565.2717 renaldo.stowers@untsystem.edu you're represented by counsel today? 17 15 18 16 Yes. 19 17 Who is your attorney? 20 18 Matt. MR. TIMOTHY JACKSON 21 MR. ALLEN: So, Matt, when we -- if I 19 22 23 20 could, when we discussed this, you said you were not 24 representing the witnesses. Mr. Kohanski's not a party, 21 25 but the State has stepped in to represent them? 22

MR. BOHUSLAV: Yes.

MR. ALLEN: Okay. Thank you.

Q. (By Mr. Allen) So, he -- your counsel -- Mr.

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г Са	se 4:21	-cv-00033-ALM Document 92-2 F	iled	01/17/2	25 Page 65 of 87 PageID #:
Ju	00 1.22	Peter Michael Kohanski 5/18/21 5291		01/11/1	Peter Michael Kohanski 5718/21
1	0	Do you know more or less the time frame?	1	developm	23
2	Q. A.	The end of July 2020.	2	A.	Reading each others' abstracts before we submit
3	Q.	And who called the Zoom meeting?	3		erences, conference previews. We invite people
4	Α .	I don't remember.	4		e for us or give presentations.
5	Q.	Do you have any position of authority among the	5	0.	Do you take over any of the responsibility for
6	-	students?	6	-	, equity and inclusion training among the
7	Α.	I did.	7	-	students?
8	Q.	And could you describe that position for us?	8	Α.	No.
9	Α.	At the time, I was president of the graduate	9	Q.	Could you turn to the second page of Exhibit
10	associat	ion of musicologists and theorists.	10	No. 2, wh	here it says, "Dear Dean Richmond"? Who
11	Q.	Could you describe the duties and obligations	11	compose	d this part of the letter?
12	of that po	osition?	12	A.	There were several people.
13	A.	Basically, the day-to-day running of that	13	Q.	Could you identify them for the Court?
14	associat	ion; conference planning, financials, running	14	A.	Myself, Rachel Gain, Bryan Stevens, Brian
15	meeting	S.	15	Anderso	on, Jessica Stearns.
16	Q.	What kind of meetings?	16	Q.	How do you spell her name?
17	A.	Normal business meetings that we have	17	A.	Her first name or last name?
18	througho	ut the year.	18	Q.	Both.
19	Q.	What do these meetings accomplish?	19	A.	J-E-S-S-I-C-A S-T-E-A-R-N-S, Robert Anderson,
20	A.	Professional development.	20	Dani Vai	n Oort.
21	Q.	Are you responsible for any diversity, equity	21	Q.	Could you spell his last name?
22	and inclu	sion training?	22	A.	It's a female, actually.
23	A.	Can you define "responsible"? What do you mean	23	Q.	Oh, so perhaps you should spell is that her
24	by that?		24	full name	9?
25	Q.	You said that these meetings take place that	25	A.	D-A-N-I space V-A-N-O-O-R-T. It was seen and
		Peter Michael Kohanski 5/18/21			Peter Michael Kohanski 5/18/21
		22			24
1		dministrative things associated with the	1		by many probably more people than that. I
2	_	program from the graduate student level. Is	2		me up with a full list of names, but I believe
3		rrect is that a correct summary of what you	3		eople were the main people kind of doing the
4	just told		4		rriting of it.
5	Α.	No.	5	Q.	Was there any input from professors?
6	Q.	Could you describe again for me, because I'm	7	Α.	No.
7 8		, honestly. Could you describe again for me committee I'm referring to the committee	8	Q.	Was there any input from anyone connected to ety for Music Theory, other than the graduate
9		state the name of the committee again?	9		you've just named?
10	A.	The graduate association of musicologists and	10	A.	No.
11	theorists		11	Q.	And Dean Richmond, does that refer to Dean John
12	Q.	So will it be clear if I just refer to that as	12	Richmon	,
13	-	uate association, is that fair?	13	A.	It does.
14	A.	You can call it GAMuT.	14	Q.	Of the College of Music?
15	Q.	GAMuT? Is that G-A-M-U-T?	15	ų. Α.	It does.
16	Α.	Yeah.	16	Q.	Thank you. Of all the people you named, are
17	Q.	So, GAMuT, could you describe again for me what	17	-	y of these individuals who are not graduate
18	GAMuT d		18		in the College of Music?
19	Α.	It's for professional development and social	19	A.	No.
20		nd yeah.	20	Q.	So, is it safe to say that the letter, if I may
21	Q.	When you say, "social things," what does that	21	-	this "Dear Dean Richmond" document as a letter,
22	mean?	, ,, 32, 230 6.60	22		derstand what I mean, correct, if I say this is
23	Α.	It means sometimes after our meetings, we'll	23	a letter?	,,,
24		her and have drinks.	24	Α.	Yes.
25	Q.	And what do you include within professional	25	Q.	Even though I understand it may have been sent
		·	1	-	Page 21 to 34 of 96

1 research that may or may not have been conducted by

2 Professor Jackson?

A. Yes.

3

Q. What is that difference? Where does the line

5 get drawn, Mr. Kohanski?

6 A. Well, my point being that the job of a C -- or

7 the CSS RA I don't think was necessarily to do research,

8 but things maybe like administrative things or editing,

9 things like that. I could be wrong about that, but to

10 my understanding, that's what the job was.

Q. Are you referring to a specific individual in

12 this No. 1?

13 A. Yes.

Q. Who is that?

15 A. Yiyi Gao.

Q. Can you spell that name for us?

17 A. Yeah. Y-I-Y-I G-A-O.

Q. And do you know what specific personal research

19 she was asked to do that supposedly was not the same as

20 CSS RA work?

21 A. I do not know.

Q. Have you had conversations with Yiyi Gao about

23 this?

A. No. I haven't had conversations with her,

25 specifically.

Peter Michael Kohanski 5/18/21

How did you learn about this supposedly abusive

2 behavior?

1

A. From some of my colleagues.

Q. Who are they?

5 A. Bryan Stevens, Brian Anderson.

Q. What did they tell you?

A. Basically, exactly what's here.

Q. The mere fact that some RA was supposed to be

9 used to do personal research for a professor to whom

10 they were assigned as a research assistant?

11 A. I don't think that's a good summary of what's

12 there, because I don't believe she was assigned to Dr.

13 Jackson as a research assistant.

Q. She was assigned to the CSS, that's your

15 understanding?

16 A. Yes.

Q. Do you believe that some of Professor Jackson's

18 research, personal research, was also part of the work

19 of the CSS?

20

A. I don't remember specifics -- more specifics

21 than this about what Bryan and Brian told me. But it is

22 my understanding, generally, from those types of

23 conversations, that she was used for work that she

24 wasn't -- that wasn't part of her job.

Q. And do you know what that work was? You don't

1 know anything about the specifics of that work, or do

2 you?

A. I don't necessarily remember. I'm sure at some

4 point I knew about it, but --

Q. And the -- let's move on to the second point,

6 "requiring student work during the summer without pay."

7 Do you know what specific students were required to do

8 work during the summer without pay?

A. These Point 1, 2 and 3 are all related to Yiyi,

10 I believe.

9

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Q. Do you know what work she was required to do

12 during the summer without pay?

A. I don't know if -- I don't know if it ties in

14 with Point 1, his personal research, or if it was just

15 work for the CSS.

Q. Do you think it's common for students to do

17 work over the summer without pay?

A. Not if it's a job that -- not if it's work that

19 has to do with a university position that they should be

20 getting paid for. It's common for me to do work over

21 the summer on my own research, on my dissertation, or

22 something like that, but if a professor asked me to be a

23 TA for them over the summer without pay, then yeah,

24 that's unusual.

Q. If you were required to complete work that you

Peter Michael Kohanski 5/18/21

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 ${\bf 1} \quad \text{had left undone as part of your normal job, would that} \\$

2 be something you would consider abusive?

A. No, I guess not.

Q. No. 3 is interesting, Mr. Kohanski. It

5 mentions extortion. Do you agree that extortion refers

6 to a crime?

7 A. I guess so.

Q. And this refers to grade manipulation. Let's

9 take that first. What grade manipulation do you believe

10 Mr. Jackson engaged in?

11 A. Again, I don't remember specifics. This still

12 has to do with Yiyi.

Q. So, you have no personal knowledge of what

14 grades were supposedly manipulated.

A. I did in the summer of 2020, but I don't

16 remember now.

17 Q. Where would that recording or written record of

18 what you knew in the summer of 2020 exist?

19 A. There probably isn't one.

Q. But right now, you can't recall what specific

21 manipulation was referred to here.

22 A. I don't remember, to be honest.

Q. And threats to students' careers and

24 reputations. If I'm summarizing correctly what you said

before, you're still referring only to Yiyi Gao, is that

	.T CV OOOOO-ALIVI	Document 92-2 F 5295		//25	Page 69 of 87 PageID #:	
	Rebecca Geoffroy-Schwi				Rebecca Geoffroy-Schwinden, Ph.D. 9/27,	/24 3
1	UNITED STATES	DISTRICT COURT	1		INDEX	
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4	Plaintiff,))	4		Exhibit index	4
	VS.	CASE NO. 4:21-CV-00033-ALM	5		Stipulations	5
6 7	LAURA WRIGHT, et al., Defendants.)	6	REBEC	CCA GEOFFROY-SCHWINDEN, Ph.D.	•
8		, * * * * * * * * * * * * * * * * * * *	7 8		Direct Examination by Mr. Allen	6
9	VIDEOTAPED ZOOM (DRAL DEPOSITION OF	9			
10	REBECCA GEOFFROY	-SCHWINDEN, Ph.D.	10	Chang	es and Signature Pages	124
11	September	27, 2024	11	Repor	ter's Certificate	126
12	(Reported	Remotely)	12			
13	**************************************	**************************************	13			
14 15		ITION OF REBECCA GEOFFROY-	14			
			16			
			17			
18	September, 2024, from 1:33 p	.m. to 4:38 p.m., before	18			
19	Kim D. Carrell, Certified Sh	orthand Reporter in and for	19			
20	the State of Texas, reported		20			
21	stenotype machine at the Uni		21			
	System, 801 North Texas Bould Denton, Texas, pursuant to t		22			
	Procedure and the provisions		24			
	attached hereto.		25			
	Rebecca Geoffroy-Schwi	nden, Ph.D. 9/27/24 2	1		EXHIBITS	
1	APPEA	RANCES		IBER	DESCRIPTION	MARKED
2	FOR THE PLAINTIFF: Mr. Michael Thad Allen		3 Exhib	oit 1	Re-Notice of Taking Deposition	7
4	ALLEN LAW, LLC P.O. Box 404 Quaker Hill, CT 06375 Telephone: 860.772.4738	- Fax: 860.469.2783	4 Exhib		Emails Re: Grad Student Statem SS (UNT 000355 - 000356)	
6 7	E-mail: M.allen@allen-l:	awfirm.com	_		Ad Hoc Review Panel Report (Ex JACKSON000208 - 000233)	
8	Ms. Mary Quimby		7 Evhil	nit 4	Email Chain Ending 7-30-20, Rag	aland to
^	Assistant Attorney Gene General Litigation Divi P.O. Box 12548, Capital Austin, Texas 78711	sion Station	8	G	Geoffroy-Schwinden, et al. UNT 000276 - 000288)	giaria to
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THE WITNESS: Okay. Great. 8 THE VIDEOGRAPHER: Off the record at 3:36. 9 (Recess taken) 10 THE VIDEOGRAPHER: The time is 3:45. We're back on the record. 11

(Deposition Exhibit Number 8 marked.)

MR. ALLEN: I'm going to mark for the

record Exhibit 8. 14 15 Q. I'm going to represent to you, Professor 16 Geoffroy-Schwinden, that these are going to be four 17 drafts. You'll see that they begin with Bates number 18 UNT 0427, and they're numbered sequentially 0428, 0429, and 0430, in that order. 19 Now, the file names, as they were disclosed

12

13

25

20 21 to us, were draft 1, 2, 3, and I believe 4, something of that nature, in series. So this explains perhaps the 22 23 nature of my questions about the email that we examined as Exhibit 7. I'm trying to identify what was 24

22 23 24 specifically sent to Benjamin Brand, because these 25

8 confirm that since you seem to be the one that was

q sending these and they were produced in your file.

10 A. Not all of them, though. So like I don't know -- yeah, I don't know about the file names. But 11

okay. Yeah, let's go through it together. 12

13 Q. Well, that's fine. And I don't know either.

That's why I want to ask you questions about it. 14

A. Okay.

16 Q. All right. So do you recognize this first

17 statement in the series?

18 A. To be honest, I don't recog -- I see it. I don't like recognize it. But you know, you're showing 19 20 it to me, and it was produced in discovery, and I --

21 you know, I get that.

> Q. Sure. And so it says the "Statement by the below-signed faculty."

Right?

A. It says that, year

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- ${f 1}$ I'm going to download a copy of this and mark it in the
- 2 record as Exhibit 9. Okay?
- 3 (Deposition Exhibit Number 9 marked.)
- **Q.** Do you recognize Exhibit 9?
- **5 A.** I just want to read it to make sure that I --
- 6 to make sure that I do.
- **7 Q.** I think it just disappeared.
- 8 A. It did. Oh, there it is. Okay.
- **9 Q.** Some magical powers that Chrome has, I guess,
- 10 is to make things disappear and reappear.
- **11 A.** No, I get it. I get it.
- So it looks -- it looks like the grad
- 13 students' statement, this does.
- **14 Q.** Um-hum. And --
- **15 A.** Can I see the second page just to --
- **Q.** Yes, absolutely.
- 17 A. Thanks. Okay.
- **18 Q.** And I'm just going to represent to you, see how
- 19 it says page 2 of 2? So there are no other pages, right?
- **A.** Not here on this document, no.
- **Q.** Right. So we confirmed that that's the
- 22 document that was linked in this draft marked UNT 427,
- 23 right?
- **24 A.** You said you clicked it and opened that, so...
- Q. Yes.

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- **1 A.** Okay.
- **Q.** And again, if I'm trying to pull a fast one on
- **3** you, your attorney, Mary Quimby, is very competent and
- 4 she'll catch me, I'm sure.
- 5 A. Okay, okay. I trust her.
- **Q.** And let me scroll up. This is another
- 7 exhibit that was attached to the Ad Hoc Panel Report
- 8 embedded in Exhibit 3. Confusingly enough, it is also
- 9 called Exhibit 3, but it's Exhibit 3 to the Ad Hoc Panel
- **10** Report.
- 11 A. Okay
- **Q.** Is this that same statement that we just
- 13 clicked on and was embedded in the draft faculty
- 14 statement?
- **15** MS. QUIMBY: I don't -- you haven't
- 16 changed the exhibit.
- MR. ALLEN: Oh, yes, yes. My mistake.
- **Q.** See here, the header up here is Exhibit 3,
- 19 November 25, 2020. And I can scroll to the top just to
- 20 confirm that this the Ad Hoc Panel Report that we had
- 21 discussed earlier as Exhibit 3.
- **22 A.** Okay.
- **Q.** And then we're going down to -- it's Exhibit
- 24 Pack. And one of the exhibits is this document. And I'm
- 25 just going to ask you to review it in as much detail as

- 1 you need to, to confirm or not whether it is the document
- 2 that was linked to that URL that we clicked and was
- 3 embedded in the Exhibit 9 -- or excuse me, embedded in
- **4** Exhibit 8, in which we pulled up online as Exhibit 9.
- **5** A. Could you just scroll down a little, so I could
- 6 keep looking at it?

7

- Q. Yeah, absolutely.
- 8 A. Thank you. Okay. So yeah, they look similar,
- 9 the linked one and this one.
- 10 Q. Okay. And I'll just briefly pull up Exhibit 9,
- 11 which should also be visible. And, again, I'm just going
- 12 to scroll through it slowly, but it should be enough for
- 13 you to at least -- is that the same -- to the best of
- 14 your knowledge, is that the same text?
- **15 A.** They look similar, yeah.
- **Q.** Okay. Thank you. Now, I'm going to direct
- 17 your attention back to Exhibit 8.
 - A. Okay.
- **Q.** So this -- this -- in linking the graduate
- 20 students' statement, it says, "We support and we believe
- 21 our graduate students. Read their statement and demands
- 22 here."

18

- 23 Right?
- **24 A.** Uh-huh.
- **Q.** Now, there's something here about systemic

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- 1 racism and so forth. This is where you've dropped one
- 2 comment in.
- 3 "On the one hand, it seems important to note
- 4 that 'due process' takes time. On the other hand, the
- 5 system affords this opportunity to people who already
- 6 hold power. This seems like a special call against Tim
- 7 that I think we probably should not make in this kind of
- 8 statement. Thoughts?"
- **9** Do you remember making that comment on this
- 10 draft?

16

- 11 A. I don't remember making that comment. But it's
- 12 -- obviously, I did.
- **Q.** What do you mean by, "The system that affords
- 14 opportunity to people who already hold power"?
- **15 A.** Well, like the opportunity like I'm having
- **Q.** So you think being called to account before the
- 18 Courts of the United States is a system that affords this
- **19** opportunity to people who already hold power?
- 20 A. Well, I think I'm lucky that I'm able to have a
- 21 conversation about this.

right now to talk to you.

- **Q.** How is this an opportunity for people who
- 23 already hold power?
- **24 A.** Well, I've -- because I'm able to actually have
- 25 a chance to talk in front of the legal system

Ca	se 4:21-cv-00033-ALM Document 92-2 Rebecca Geoffroy-Schwinden, Ph.D. 9/27/24_2000	-iled	01/17/25 Page 74 of 87 PageID #: Rebecca Geoffroy-Schwinden, Ph.D. 9/27/24
	Rebecca Geoffroy-Schwinden, Ph.D. 9/27/24 5300		103
1	Q. Do you consider yourself someone who already	1	Q. Okay.
2	has power?	2	A. But I think I mean this issue, like the one
3	A. I do.	3	that I'm commenting on.
4	Q. Do you think due process actually, let's	4	Q. And again, if you don't know or you don't
5	back up.	5	remember, then you don't, so you can say that.
6	What do you understand by, quote, due process?	6	A. Okay, okay.
7	A. Like having an opportunity to have an	7	Q. Is that your testimony? You just don't know
8	investigation into something.	8	what was your thinking at the time when you wrote that?
9	Q. Do you know what as you understand it, do	9	A. Well, it means what it says in the sense that
10	you know what the elements of due process rights are?	10	(as read) There's deeply entrenched boundaries among
11	A. Didn't didn't make it to law school.	11	research into music cultural culture, history, and
12	Q. That's fine.	12	theory that's complicit here.
13	And you say here, "This seems like a specific	13	"This issue is born of these divisions."
14	call against Tim."	14	So I guess maybe the issue it looks like
15	Right?	15	
	•		it's referencing back maybe to the paragraph before.
16	A. That's what it says.Q. You also make another bubble comment on the	16	Q. Where you are talking about practices that
17		17	protect the systemic racism? Is that it?
18	paragraph that follows that. "This issue is born of these divisions where	18	A. I don't know. I don't know what I mean by this
19		19	issue. I'm sorry.
20	theory is separated from historical and cultural work.	20	Q. Do you know what you mean by practices that
21	Not sure if this is too much, though."	21	protect the systemic racism?
22	MS. QUIMBY: I'm sorry	22	MS. QUIMBY: Objection, form.
23	A. Could you open it on the side? Because it's	23	Q. This was highlighted here, right?
24	like cut off on my screen, so I can't see the first part.	24	(As read) Practices that protect the
25	There we go. Thank you.	25	systemic racism (discrimination? Inequality?) Built into
	Rebecca Geoffroy-Schwinden, Ph.D. 9/27/24 102		Rebecca Geoffroy-Schwinden, Ph.D. 9/27/24 104
1	Q. Can you see and if it's	1	institutional walls, which impedes equally excuse me,
2	A. Yep.	2	which impedes equally swift official responses to these
3	Q. I'm not quite sure how to make this bigger	3	kinds of crises.
4	if	4	A. Okay. So what did you want me to answer about
5	A. That's okay. I can I can see it the way	5	that?
6	it is. It's totally fine.	6	Q. Is that what that's referring to when you said
7	Q. Okay, great.	7	this double bubble?
8	A. It was just cut off before.	8	A. You know, it doesn't seem that way actually.
9	Q. Let me read it again into the record.	9	Q. Okay.
10	"This issue is born of these divisions where	10	A. Those seem unrelated now that I'm I mean,
11	theory is separated from historical and cultural work.	11	but this is me interpreting like kind of on the spot,
12	Not sure if this is too much, though."	12	trying to walk us through it.
13	Did I read that right?	13	Q. And when you say "complicit" here, what do
14	A. You read that right.	14	you mean, complicit? Complicit in what?
15	Q. What did you mean by that?	15	A. That's that's actually what I'm wondering
16	A. I need to go back to the paragraph it's	16	with you here. Clearly, this is a draft. I'm wondering
17	commenting on. (Muffled reading) Okay.	17	what time I wrote it, and if I did, because if I'm
18	Q. I'm just asking what that meant to you.	18	commenting, maybe someone else did.
19	A. I'm not trying to be annoying.	19	Q. Sure. Well, let me let me move on and ask
20		20	this question.
20 21	• •	21	·
			Are all of the eventual signatories, were they
22	Q. That's okay.	22	all working on this draft, commenting on the drafts that
23	A. I genuinely am not trying I don't know	23	were circulating?
24	what I mean by this issue here. Like I don't know if	24	MS. QUIMBY: Objection, form.
25	I	25	A. I don't know. I don't know.

This sentence says, "The epistemic center of

the journal issue lies in a racist discourse that has no

24

25

Okay. Thank you. Now, it says something about

the epi -- "Epistemic center of the journal lies in a

24

25

- ${\bf 8} \quad \mbox{ of Music publicly condemn -- ask that the public -- I'm}$
- 9 sorry.
- **10 A.** That's okay.
- **Q.** I'm going to read it from the top, just so we
- 12 get it cleanly into the record.
- "We endorse the call for action outlined in
- 14 our students' letter" -- the URL follows -- "which asks
- 15 that the College of Music 'publicly condemn the issue and
- 16 release it freely online to the public' and 'provide a
- 17 full public account of the editorial and publication
- 18 process and its failures.' Responsible parties must" --
- 19 be appropriately -- "be held appropriately accountable."
- **20** Did I read that correctly?
- 21 A. Yeah, yeah.
- **Q.** On a third try?
- **A.** That's okay. It's late and it's Friday.
- 24 Q. Yes. Thank you.
- So is it your testimony then, as I'm

- 8 A. Okay.
- **9 Q.** I just want -- I just want to walk us through
- 10 the student statement here. So this is the student
- 11 statement, which we've discussed before. What is the
- 12 call to action here?
 - MS. QUIMBY: Objection, form.
- **Q.** Is that question unclear to you?
 - If we -- if we skip down to this statement, it
- 16 says, "We endorse the call for action outlined in our
- 17 students' letter."
- 18 Correct?
- 19 A. Yep. That's what that says.
- **Q.** And this is the student letter that was linked
- 21 to that statement, correct?
- **22 A.** Um-hum.
 - Q. So my question is, what is the call to action
- 24 that is referred to in the faculty statement?
 - MS. QUIMBY: Objection, form.

25

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A. To publicly condemn the issue and release it 1 2 freely online to the public.

Q. Um-hum.

3

4

8

"Provide a full public account of the editorial

5 and publication process and its failures."

That's -- those are the ones outlined in the 6

7 faculty statement.

Q. This statement also calls on the University

of North Texas and UNT College of Music to take other 9

10 actions, right? It says so right here. And I'm

referring to this sentence under paragraph enumerated 11

12 number 2 on JACKSON 0226.

13 It says, "We also call on the University of North Texas and the UNT College of Music to take the 14 15 following actions:"

Right? 16

17 It does say that, yes.

And one of those is to dissolve the journal,

19 right?

18

21

25

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20 It says dissolve the journal, yep.

Do you know if that, in fact, took place?

No, I don't. 22 Α.

23 Has the Journal of Schenkerian Studies appeared

since July of 2020? 24

I have no idea. Like I said, never read it,

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still don't. 1

2 **Q.** Okay. Here's something about critically

3 examining the culture of UNT and etc., etc.

And then under the paragraph number 3 on the 4 second page of the student statement, it says, "Hold

accountable every person responsible for the direction 6

7 of the publication. This will involve recognizing both 8

whistleblowers and those who failed to heed them in this

9 process. This should also extend to investigating past

10 bigoted behaviors by faculty and, by taking this into

account, the discipline and potential removal of 11

faculty who used the JSS platform to promote racism. 12

Specifically, the actions of Dr. Jackson -- both past 13

and present -- are particularly racist and unacceptable." 14

Did I read that correctly? 15

16 You did.

17 Now, it's your testimony today that you never

18 intended to endorse these statements?

No. I didn't -- I didn't -- no. I didn't 19

20 endorse these statements.

21 **Q.** Even though the faculty statement endorses

the students' statement, your testimony today is that --22

23 I'm sorry, no. We did not endorse this whole

24 statement, so you've just misstated my testimony today.

No, no, no. I'm saying even though this 25

25

statement endorses the call for action outlined in the 1

2 student letter, right?

A. It does not endorse the entire call for action.

All right. Okay, then good.

5 It endorses the call to make it publicly

available and -- do you want to repeat that again into 6

7 the record, or are we good? Do you want me to finish

8 it?

3

9 Q. No, no. I'm -- let me finish. And then if you

10 disagree, maybe you can enlighten me. All right?

Okay. Sounds good. 11

12 This faculty statement endorses the call for

13 action outlined in the student letter.

So far, so good. And you are arguing that 14

15 this subordinate clause, which asks that the College of

Music publicly condemn the issue and release it freely 16

17 online to the public and provide a full public account

18 of the editorial and publication process and its

19 failures, is only thing you endorse in that letter?

MS. QUIMBY: Objection, form.

Q. Is that your testimony today, that you don't

endorse the other things, only these two things that you 22

23 quoted?

20

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That's what that sentence grammatically says. Α.

25 Q. Even though it doesn't say exclusively or

> Rebecca Geoffroy-Schwinden, Ph.D. 9/27/24

> > 120

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anything limiting it to only these things, that's your

testimony, right?

3 MS. QUIMBY: Objection, form.

4 A. I testified that the word "exclusively" does

5 not appear in that sentence.

Q. And you don't -- sorry about that. I just

7 clicked on it and made my Chrome browser blow up.

8 You agree that this embeds the letter by

9 reference to this URL link, correct?

10 I agree that that -- yes, the URL link is

11 there.

So just the last couple of questions.

13 Um-hum. A.

Can you identify any concrete actions, past 14

or present, of Timothy Jackson that are particularly 15

16 racist?

17 Α. I would just say maybe some of the writing in

18 the article

19 Q. So his published speech basically, right?

MS. QUIMBY: Objection, form.

Sorry, wait. No. Sorry, what do you mean?

Well, I asked if you can identify concrete

specific actions of Dr. Jackson, either past or present, 23

24 that are particularly racist.

A. I would just say some of the writing in the

19

20

21 22

23

24 25 following:

witness:

That the witness, REBECCA GEOFFROY-SCHWINDEN, Ph.D.,

was duly sworn and that the transcript of the oral deposition is a true record of the testimony given by the

That the deposition transcript was duly submitted on October 30, 2024, to Ms. Mary Quimby, the attorney for

the defendants, for examination, signature, and return to

THE UNITED STATES DISTRICT COURT FOR THE EASTERN DISTRICT OF TEXAS

SHERMAN	DIVISION
TIMOTHY JACKSON, Plaintiff,)))
VS.) CIVIL ACTION
LAURA WRIGHT, ET AL.) NO.: 4:21-cv-00033-ALM
Defendants.)))

ORAL AND VIDEOTAPED DEPOSITION OF

STEPHEN SLOTTOW, PhD

NOVEMBER 7, 2024

ORAL AND VIDEOTAPED DEPOSITION OF STEPHEN

SLOTTOW, PhD, produced as a witness at the instance of the DEFENDANTS, and duly sworn, was taken in the above-styled and numbered cause on November 7, 2024, from 8:31 a.m. to 4:41 p.m., via Zoom teleconference before Vanessa J. Theisen, CSR in and for the State of Texas, and RPR, reported by machine shorthand, at the University of North Texas System, 801 North Texas Boulevard, Gateway Suite #340, Denton, Texas 76201, pursuant to the Federal Rules of Civil Procedure and any provisions stated on the record or attached hereto.

and he was asking about editorial policies and
 etcetera.

3 Q. Were you truthful in your interview?

A. Yeah, as -- to my knowledge, yeah.

5 Q. Do you recall describing the symposium as a

6 visceral reaction to the Ewell -- Dr. Ewell's talk?

A. Visceral reaction? Well, the notes that

8 were taken -- I see that there were notes taken on

9 the interview. They were certainly not written by

10 me.

4

7

12

11 Q. Uh-huh.

A. And they were certainly not language that I

13 would usually use. I don't think I would say

14 "visceral reaction" because they weren't.

15 Q. How would you describe it, then?

16 A. Well, it's a reaction to Ewell's allegations

17 involving Heinrich Schenker and Schenkerian analysis.

18 Visceral implies a sort of like a scream of pain from

19 the guts. Hopefully they weren't that; they were

20 more considered. And, besides, not all of them were

21 critical of Dr. Ewell either. So I would not

22 describe it as a visceral reaction.

23 I didn't -- I don't think I would use

24 those words, but who knows? It's possible.

25 Q. Do you recall expressing -- and maybe not in

1 Dr. Ewell would be looked upon as a victim and we

2 would be looked upon as oppressors and racists

3 because I thought a lot of what Ewell was saying was

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4 outrageous and ill-founded. So I was taken aback by

5 the -- and had I anticipated such a reaction, I would

6 have counseled a great deal more caution in what the

7 journal did.

8 Q. Would you have read all of the responses

9 before they were published?

10 A. Probably, but what I probably would have

11 done differently was that I -- in retrospect, I would

12 have counseled that we ask Dr. Ewell to participate

13 as a respondent, and I probably would have counseled

14 that in this case everything be peer-reviewed. But I

15 did not anticipate that -- that response.

16 Q. You just mentioned Dr. Ewell, you would have

17 invited him. So was he invited into the process at

18 all?

19 A. No. Well, he was invited only to the extent

20 that he could have submitted --

21 Q. Uh-huh.

22 A. -- an article of his own, and -- like anyone

23 else. He was certainly aware of the call for papers,

24 but he wasn't invited as a respondent to the papers.

25 Q. A respondent to the responses. Is that --

1 these words, but that more caution should have been

2 exercised in publishing --

3 A. Yes, I did --

6

19

22

4 Q. -- this symposium?

5 A. -- because I did not anticipate the

6 reaction. It took me by surprise. I thought that a 7 lot of what Dr. Ewell was saying was outrageous and

B hypocritical because he said, "I hope we can save

9 Schenkerian analysis."

10 Save Schenkerian analysis from what?

11 Well, from Dr. Ewell's attacks. That's from what.

12 It didn't need to be saved before.

13 So to take this sort of sanctimonious --

14 "I'm only here to save Schenkerian analysis from its

15 enemies of whom I am the main person," I thought it

16 was a little hard to swallow and of their -- and so I

17 think hypocritical is the word I would use for some

18 of what he said.

What was the question?

20 Q. I don't -- I asked if you recall expressing

21 that more caution should have been --

A. Oh, yes.

23 Q. -- exercised?

24 A. Yes. I was -- I went off on a tangent.

25 I did not anticipate the reaction that

1 A. Yeah.

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2 Q. Why would he have responded to his own

3 paper?

A. Well, it does seem sort of illogical when

5 you put it that way. But that's the extent, that he

6 was not invited in any special role at all.

7 In retrospect, after the response to the

8 journal, he probably -- I would feel -- I don't know

9 if Dr. Jackson would, but I would feel that that

10 would have been the better approach and more cautious

11 approach.

12 Q. So we talked about that Benjamin Graf, you

13 think he resigned as the editor. What about Levi

14 Walls? Did he resign, or do you know what happened

15 to that role?

16 A. Levi was attacked -- Levi was attacked, as

17 was Dr. Jackson, and to some extent, me, as being the

18 assistant editor and the one who signed, I think, the

19 call for papers. And then I think the -- there was

20 something here that was sort of a little introduction

21 to the symposium that he might have signed saying

22 something about, "We welcome," you know, "all

23 opinions."

24 Oh, yes. This introduction to the

25 symposium, he wrote that, though he didn't sign it.

1 A. -- the context of that comment, I don't know 1

2 what he was talking about.

3 Q. And I'm just going to phrase the same

4 question more or less upside down. But did you ever

5 witness Timothy Jackson direct or order Levi Walls

6 not to censor someone, this idea of censorship?

A. No. No, no, the issue never came up that I

8 was aware of at all.

9 Q. Uh-huh.

7

10 A. And, again, I'm not sure what that means.

11 Censor what? Censor who? I mean, who...

12 Q. It's certainly not the job of an editor of

13 any journal to censor people, right?

14 A. Well, granted that I don't even know what

15 that means -- what it would mean, I would say no.

16 But I don't know -- if he made that comment, I don't

17 know what he was talking about. I would need more

18 context.

19 Q. Okay. You also talked about Benjamin Graf

20 and yourself providing feedback to Timothy Jackson in

21 his contribution to Volume 12.

22 A. Yeah.

23 Q. Do you recall talking about that?

24 A. Yes.

Q. We already discussed that there was a power

1 making our case.

2 Q. Sure. I want to call your attention back to

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3 Exhibit 3. Can you get Exhibit 3 in front of you

4 again? I think it's only two pages.

A. Is that the letter from UNT faculty members?

6 Q. Yes.

7 A. Yeah, I've got it here.

Q. And I just want to direct your attention

9 to -- the first sentence says, "We, the undersigned

10 faculty members of the University of North Texas

11 Division of Music History, Theory, and

12 Ethnomusicology, stand in solidarity with our

13 graduate students in their letter of condemnation of

14 the Journal of Schenkerian Studies."

Did I read that correctly?

16 A. Yes.

15

20

Q. Do you understand that letter of

18 condemnation to be incorporated by reference in that

19 https internet link that's in the middle of the page?

MS. QUIMBY: Objection. Form.

21 A. I haven't clicked on that link. I'm not

22 sure where that link goes. It says the students'

23 letter can be found in the link.

24 Q. (BY MR. ALLEN) Okay.

25 A. I've read the letter. I mean, I read the

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othy

1 differential between Benjamin Graf and Timothy

2 Jackson, right?

A. Yes, because ultimately Jackson was sort of

4 the head of the Center for Schenkerian studies, and

5 it was his project. So there is a power -- there

6 definitely was a power differential. We sort of

7 acted within that.

8 Q. Did that prevent Benjamin Graf in any way

9 from expressing his criticism of Timothy Jackson's

10 work?

3

11 A. Well, in my experience, no. And, in fact,

12 he did -- we both did offer rather, you know,

13 explicit critiques of Timothy Jackson's work.

14 specifically in his article for Volume 12.

15 Q. Uh-huh.

16 A. And if Benjamin Graf has said that it did, I

17 wasn't aware of it, and he didn't say it to me.

18 Q. And, in fact, I think you testified Timothy

19 Jackson eventually accepted that criticism that you

20 were -- you and Benjamin Graf were providing?

21 A. Yeah. He pretty much cut most of that out.

22 There were other things I guess we probably could

23 have and should have criticized him, but we spent

24 most of our effort on that. He was stubborn about

25 it, you see, and so we had to expend some energy in

1 letter at the time.

Q. Sure. And the -- you don't have any reason

3 to believe that that link wasn't to the student

4 letter that we're both referring to, which you've

5 also referred to as the student petition, right?

MR. TODD: Objection. Form.

A. I have no reason for supposing that because

8 I haven 't clicked on the link. I don't know where

9 it goes.

10 Q. (BY MR. ALLEN) That's fine. We could do

11 that, but I don't want to really waste our time doing

12 that.

6

13 A. I know where it says it goes.

14 Q. Yeah. And you did refer to the student

15 letter as a student petition earlier, right?

16 A. Yeah, yeah.

17 Q. And let's look at that second paragraph.

18 It says, "We endorse the call for action

19 outlined in our students' letter," right?

20 A. Uh-huh.

21 Q. And then in the final sentence of that

22 paragraph, it says, "Responsible parties must be held

23 appropriately accountable," right?

24 A. Yes.

25 Q. And in the student letter, as you remember

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146 148 1 it, who was being singled out to be called into Q. Well, and my question, for the record, was 2 account? 2 going to be do you recognize the document --3 MS. QUIMBY: Objection. Form. A. Yes. 4 Q. -- that begins, "I am sharing this statement 4 A. Well, it was both Dr. Jackson and, to a 5 lesser extent, myself. But Dr. Jackson more -- it 5 on behalf of a cross-section of graduate students in was a stronger accusation against him. the Division of Music History, Theory, and 7 MR. ALLEN: Why don't we just put in the 7 Ethnomusicology"? 8 record the student letter, just so we're not being 8 A. Yes, I do recognize it. 9 9 vague about anything. Let me -- you'll have to just Q. And is that the student petition that you 10 had referred to earlier in your deposition? 10 give me a second. 11 11 A. Yeah, I haven't seen it since then. A. Yes, it is. 12 MR. ALLEN: This will be Exhibit 5 I'm 12 Q. So now we're going to do a little flipping 13 back and forth between exhibits, and I want to refer 13 going to mark for the record, and this will be the 14 last exhibit we look at, Professor Slottow. 14 your attention back to Exhibit 3, which was the 15 (Exhibit 5 marked.) 15 faculty letter we just talked about. Q. (BY MR. ALLEN) You will see that Exhibit 5 16 16 A. I've got it here. 17 is the November 25th, 2020 ad hoc panel report. 17 Q. And we read that paragraph that begins, "We 18 A. Well, I don't -- I don't see it at all. 18 endorse the call for action outlined in our students' 19 Q. I know. You don't see it right here. And 19 letter." 20 20 A. Yeah. Let's see. Where -- where is --I'm going to share it with you. 21 21 MR. ALLEN: I don't know. He doesn't Q. That's -- the second paragraph begins --22 have a screen. How should we do -- should we go off 22 A. Yes. 23 the record for a second? 23 Q. -- "We endorse the call for action." THE VIDEOGRAPHER: We're off the record 24 24 A. Right. 25 at 3:59 p.m. 25 Q. So my question is in the student letter, 147 149 (Recess 3:59 p.m. to 4:12 p.m.) 1 which we've just introduced into the record as part 1 THE VIDEOGRAPHER: We are back on the 2 2 of Exhibit 5, can you identify what you understand as 3 record at 4:12 p.m. 3 the call for action? 4 Q. (BY MR. ALLEN) Dr. Slottow, I've introduced A. Well, it's the numbered elements. 5 into the record Exhibit 5, and I'm just going to 5 1. Publicly condemn the issue and 6 represent to you that Exhibit 5 is the ad hoc panel 6 release it freely online to the public. report which has been discussed in earlier 7 2. Provide a full public account of the depositions and throughout this litigation, and it's 8 editorial and publication process and its failures. 8 dated November 25th, 2020. 9 And then next. Dissolve the Journal of 10 10 Schenkerian Studies. I'm not going to ask you questions about 11 the entire report, but I want you to focus on one 11 Q. Uh-huh. portion of it, which begins on the Bates-numbered 12 A. And then, Critically examine the culture in 12 13 page Jackson 226, and it is an exhibit that was 13 UNT, the College of Music, the MHTE Division, and act to change the culture. 14 attached to the ad hoc panel report. 14 15 A. Wait a moment. I do not have this exhibit 15 And three, Hold accountable every person in front of me. I -- wait. Oh, I see -- unless responsible for the direction of the publication. it's -- unless it's part -- oh, okay. Wait a minute. 17 It certainly is characterized by sort of 17 This is -- the student petition, the student letter I 18 overblown exaggeration, yes. That's what I --Q. And in that --19 read is attached to the ad hoc review panel. So --19 20 Q. Yes. 20 A. That's what I would say is the call for 21 A. -- now here --21 action. Q. And in that last number 3 that you just read 22 Q. And it's only that student petition that I 22 23 want to focus on, okay? 23 that said, "Hold accountable every person responsible 24 A. Okav. Well, I am -- I have -- I just read 24 for the direction of the publication" -that. I have that in front of me. 25 A. Right.

1 Q. -- it also says in the last sentence,

- 2 "Specifically the actions of Dr. Jackson -- both past
- 3 and present -- are particularly racist and
- 4 unacceptable," right?
- 5 A. Yes.
- 6 Q. And then --
- 7 A. And then it goes out of its way to praise
- 8 Philip Ewell.
- 9 Q. Sure. And so the last question would be
- 10 about Exhibit 3.
- 11 When you have been asked questions about
- 12 this document, Exhibit 3, by the state's attorney
- 13 Mary Quimby, she had asked you if this identifies
- 14 Timothy Jackson in the quote "faculty statement"?
- 15 A. Not in the faculty statement.
- 16 Q. But it does refer to the student letter and
- 17 incorporates it by reference, right?
- 18 A. Yes.
- 19 MS. QUIMBY: Objection. Form.
- 20 Q. (BY MR. ALLEN) And does that, quote,
- 21 "Endorsed student letter" refer to Timothy Jackson
- 22 directly by name?
- 23 MS. QUIMBY: Objection. Form.
- 24 A. The student letter does. The faculty letter
- 25 does not.

1

- 1 A. Yes.
- 2 Q. And it incorporates it through a URL or
- 3 website link, right?
- 4 A. Right.
- 5 Q. And the last sentence of that second
- 6 paragraph of the faculty petition says, "Responsible

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- 7 parties must be held appropriately accountable,"
- 8 right?
- 9 A. Yes, it does.
- 10 Q. And if you then refer to Exhibit 5, which is
- 11 the student petition, what is your understanding of
- 12 who is referred to as the responsible parties that
- 13 need to be held appropriately accountable?
- 14 MS. QUIMBY: Objection. Form.
- 15 A. Well, certainly it focuses on Dr. Jackson,
- 16 but it also says, "every person responsible," and
- 17 casting a wide net. But Dr. Jackson is the only
- 18 name -- person's name which is mentioned there.
- 19 Q. In that specific numbered call for action,
- 20 right?
- 21 A. Yes. He --
- 22 Q. Now, are you -- sorry, I didn't mean to cut
- 23 you off.
- A. Yes, he seemed to be the prime mover here.
- 25 Q. And are you mentioned by name in the student
- Q. (BY MR. ALLEN) And when it says,
- 2 "Responsible parties must be held appropriately
- 3 accountable" in Exhibit 3 -- you see that last
- 4 sentence of the second paragraph?
- 5 A. The last sentence? Yes.
- 6 Q. Of the -- it says, "Responsible parties must
- 7 be held appropriately accountable."
- 8 A. That's not --
- 9 Q. Do you understa --
- 10 A. -- the last sentence. You mean --
- 11 THE REPORTER: I'm sorry?
- 12 THE WITNESS: That's not the last
- 13 sentence.
- 14 Q. That's the last sentence of the second
- 15 paragraph I was referring to.
- 16 A. The last sentence is "Specifically, the
- 17 actions of Dr. Jackson," etcetera.
- 18 Q. Oh, I'm sorry. I meant Exhibit 3. I'm
- 19 trying to --
- 20 A. Oh.
- 21 Q. -- link -- I'm trying to get some clear -- a
- 22 clear record of your understanding of how these two
- 23 documents interact, because obviously the -- as
- 24 you've already testified, the faculty petition refers
- 25 to the student petition, right?

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 - 2 A. Yes, I am, actually.
 - Q. And that's in the third paragraph, right, of
 - 4 Exhibit No. 5, the student petition?
 - 5 A. Yes.

1 petition?

- 6 Q. And it says it's -- it says, "We would like
- 7 to make it clear that the JSS is not a graduate
- 8 student journal; since 2010 (Vol.4), it has been run
- 9 primarily by Drs. Timothy Jackson and Stephen
- 10 Slottow."
- 11 A. Right.
- 12 Q. Did I read that right?
- 13 A. Yeah.
- 14 Q. Is that an accurate, factual statement about
- 15 the journal?
- 16 A. Yeah, because Dr. Jackson and I were
- 17 co-directors or director and assistant director. So
- 18 I would say as far as it goes, it's accurate, as far
- 19 as the editorial direction.
- 20 Q. How about the -- in the next sentence where
- 21 it says, "Students have absolutely no say in the
- 22 content of the JSS"?
- 23 A. Well, some students do. The students who
- 24 are on the staff, you might say, of the journal.
- 25 Certainly Benjamin Graf and Levi Walls are involved

158 160 A. Well --1 accountable, or was it about something else? 1 2 Q. It was about the response issue. 2 MR. ALLEN: Objection. 3 A. Well, can you point to a specific -- I think 3 A. -- for the first part, do I agree with what 4 I was referring to a specific sentence. Do you he says in his article, I wouldn't even answer that remember what it was? without having -- rereading the article. It's been 5 6 Q. "Responsible parties must be held years. And he says a lot about black anti-Semitism. 7 appropriately accountable," at the end of the second 7 Well, there are -- certainly are pockets paragraph. of black anti-Semitism, and there are certainly 9 A. Yes. Well, it's a general -- I thought -instances of synagogues being burnt down by some 10 it would have made more sense in the context of black people. Those are facts which have been 11 what -- who is Tim Jackson's attorney? What's his reported on. So I think some of that is around, yes. 12 name? 12 Q. (BY MS. QUIMBY) And what about what he said in the article that black children are not exposed to 13 MR. ALLEN: Michael Allen. 13 14 A. -- what Michael Allen said. Now, what was 14 classical music? 15 he getting at, he was trying to get at? I forget. 15 A. I don't know if that's true or not. I don't 16 Let me reread the paragraph. 16 know what he has -- what Dr. Jackson supports that 17 Well, I'm not sure exactly what I meant with, and I wouldn't venture to agree or disagree then because it was in response to something that 18 with that statement. 18 Q. Would you expect that statement to be 19 Michael Allen said. 19 supported in an academic journal in a scholarly MS. QUIMBY: Could we read back 20 20 21 that part of the transcript, please? 22 22 A. I wouldn't venture to say. I don't know. I (Background noise.) 23 THE REPORTER: I'm sorry? mean, it's too speculative. Would I expect it to be 24 MS. QUIMBY: Could we read back that supported if someone had done a study on it? I don't 25 last question and answer before we went off the 25 know. I would have to wait to see if someone does do 159 161 record? 1 a study on it. Until then, I'm not going to express 1 2 an opinion. I think it's -- it's a sort of statement 2 THE REPORTER: The last question is: 3 that I don't think should be made without some Q. It doesn't say that he wasn't 4 invited personally, does it? evidence. 5 5 A. No. It said he was not afforded the Q. Okay. Thank you. 6 opportunity to respond in print. I know what that 6 MS. QUIMBY: I will pass the witness. 7 means. I know what they meant by that, but as for MR. ALLEN: Sure. 8 **FURTHER EXAMINATION** what it actually -- that's what it says. A. I think I was referring to a sentence with 9 BY MR. ALLEN: the word "respond" in it. It wasn't that sent -- it 10 Q. I just have one more question about the last 10 11 wasn't in the sentence --11 sentence of the first paragraph in Exhibit 3, the Q. Right. fact that he was not afforded the opportunity to 12 13 A. The one -- it was the last sentence of the 13 respond in print is unacceptable, right? 14 first paragraph. 14 A. Right. Q. Now that you've heard that response -- your 15 Q. You said you know what they mean. 15 response again, do you now know -- can you now answer 16 17 the question of what you meant when you said, "I know Q. And you even explained to the state's 17 18 what they mean"? attorney, Mary Quimby, what your understanding was, 18 19 A. Yeah, it meant that we did not -- the riaht? 19 20 journal did not invite Dr. Ewell to provide a 20 A. Right. 21 response to each of the items in the symposium. 21 Q. And my question for you is there's been a 22 That's what they meant. lot of discussion about what they meant after this

23

24

25

faculty petition was published, right?

MS. QUIMBY: Objection. Form.

A. Wait a minute. After this faculty -- what

Q. Okay. And do you agree with what

Dr. Jackson said in his article, particularly the

point regarding black anti-Semitism?

23 24

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was published? It circulated among faculty. It	1	A. I don't recall that it was put in those	
Q. (BY MR. ALLEN) Sure.	2	terms to me, no.	
A certainly has appeared in court. What do	3	Q. Okay.	
you mean was published?	4	MR. ALLEN: I don't have	
Q. So I'll just represent for the record and	5	Q. I'm sorry. Go ahead.	
I'm not trying to make you a legal beagle or	6	A. If anyone said that, I would say it would	
	7		
	8		
	9	what was referred to.	
• •	10	Q. Okay.	
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Q anything to that effect?	2 3	want a copy of the depo? MR. ALLEN: I'll just need a transcript	
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1	CHANGES AND SIGNATURE	1 THE UNITED STATES DISTRICT COURT	
2	WITNESS NAME: STEPHEN SLOTTOW, PhD	FOR THE EASTERN DISTRICT OF TEXAS	
3	DATE OF DEPOSITION: NOVEMBER 7, 2024	2 SHERMAN DIVISION 3 TIMOTHY JACKSON,)	
4	PAGE LINE CHANGE REASON)	
5		4 Plaintiff,)	
6) 5 VS.) CIVIL ACTION	
7		5 VS.) CIVIL ACTION	
′		6 LAURA WRIGHT, ET AL.) NO.: 4:21-cv-00033-ALM	
8			
9		7 Defendants.) 8	
10		REPORTER'S CERTIFICATION OF THE ORAL	
11		9 DEPOSITION OF STEPHEN SLOTTOW, PhD	
12		NOVEMBER 7, 2024	
13		10 11 I, Vanessa J. Theisen, a Certified	
14		12 Shorthand Reporter in and for the State of Texas,	
15		13 hereby certify to the following:	
16		14 That the witness, STEPHEN SLOTTOW, PhD,	
17		15 was duly sworn by the officer and that the transcript16 of the oral deposition is a true record of the	
18		17 testimony given by the witness;	
19		18 That the original deposition was delivered	
20		19 to Mr. Patrick Todd to obtain witness's signature.	
21		20 That a copy of this certificate was served	
		21 on all parties and/or the witness shown herein on 22 November 11, 2024.	
22		23 I further certify that pursuant to FRCP	
23		24 Rule 30(3) that the signature of the deponent:	
24		25 _XX_ was requested by the deponent or a	
25			
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2	I, STEPHEN SLOTTOW, PhD, have read the foregoing deposition and hereby affix my signature	2 that the signature is to be before any notary public	169
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